

RESOLUTION 10/09
CONCERNING THE FUNCTIONS OF THE COMPLIANCE COMMITTEE

The Indian Ocean Tuna Commission (IOTC),

CONCERNED that in general the level of compliance within the Commission need to be improved in order to insure the sustainability and a sound management of the IOTC fishing resources;

CONCERNED that the performance Review Panel concluded that there is a poor record of compliance and limited tools for addressing non-compliance;

RECALLING that the Commission expressed its concern about some specific matters and urged all IOTC Contracting Parties and Cooperating Non-Contracting Parties (CPCs) to take the necessary steps to meet IOTC fisheries data requirements;

RECALLING, that the Performance Review Panel found that it is imperative to strengthen the ability of the IOTC Compliance Committee to monitor non-compliance and advise the Commission on actions which might be taken in response to non-compliance and sanction mechanisms for non-compliance and provisions for follow-up on infringements should be developed;

AFFIRMING the urgent need that all CPCs ensure the proper implementation of the IOTC legislation;

ADOPTS, in accordance with the provisions of Article IX, paragraph 1 of the IOTC Agreement, the following Terms of Reference for the IOTC Compliance Committee:

1. Meetings of the IOTC Compliance Committee

The meetings of the IOTC Compliance Committee shall be held for a period of at least Two (2) days in the week preceding the meeting of the Commission, to assess individual IOTC Members and Co-operating Non-Contracting Parties' (hereinafter referred to as "CPCs") compliance and enforcement with their obligations as Members and Cooperating non-Members of IOTC. IOTC Compliance Committee sessions might also be held, if necessary, during the days of the plenary sessions.

2. Mandate and Objectives of the IOTC Compliance Committee

2.1 The IOTC Compliance Committee shall be responsible for reviewing all aspects of CPCs individual compliance with IOTC Conservation and Management Resolutions in the IOTC Area;

2.2 The IOTC Compliance Committee shall report directly to the Commission on its deliberations and recommendations;

2.3 The IOTC Compliance Committee shall cooperate closely with other IOTC subsidiary bodies in order to remain informed on all issues concerning compliance with IOTC Conservation and Management Resolutions;

2.4 The work of the IOTC Compliance Committee shall be guided by the following overall objectives:

2.4.1 To provide a structured forum for discussion of all problems related to effective implementation of, and compliance with, IOTC Conservation and Management Resolutions in the IOTC Area;

2.4.2 To gather and review information relevant to compliance with IOTC Conservation and Management Resolutions from IOTC subsidiary bodies, and from Reports of Implementation submitted by CPCs;

2.4.3 To identify and discuss problems related to the implementation of, and compliance with, IOTC Conservation and Management Resolutions, and to make recommendations to the Commission on how to address these problems.

3. The terms of reference of the IOTC Compliance Committee shall be to:

3.1 Review each individual CPC's compliance with Conservation and Management Resolutions adopted by the Commission and make such recommendations to the Commission as may be necessary to ensure their effectiveness, notably in relation to:

- i) The mandatory statistical requirements and all issues related to obligatory reporting and data providing, including non-targeted species;
- ii) The level of CPC's conformity with Conservation Resolutions;
- iii) The CPC's conformity with the Resolutions concerning the limitation of the fishing capacity;
- iv) The status of implementation of Resolutions for monitoring, control, surveillance and enforcement adopted by the Commission (Port inspections, VMS, follow-up on infringements and market related measures);
- v) The reporting on authorised as well as active vessels in IOTC area of competence, in particular in relation to the fishing effort limitation IOTC Resolutions.

3.2 The IOTC Compliance Committee shall also be tasked to:

- i) Compile reports, with the help of the IOTC Secretariat, based on information submitted by CPCs in accordance to the various Resolutions adopted by the Commission and, which will form the basis for the compliance examination process;
- ii) Develop a structured, integrated approach to evaluate the compliance of each of the Members against the IOTC Resolutions in force. The Chairperson of the IOTC Compliance Committee, assisted by the IOTC Secretariat, will identify, select and transmit the significant non-compliance issues to each CPC and submit them for discussion at the IOTC Compliance Committee meeting;
- iii) Issue its opinion on the compliance status of each CPC at the end of the meeting. Non compliance with the IOTC Conservation and Management Resolutions will lead to a declaration of non compliance by the IOTC Compliance Committee and recommend suitable actions for consideration of the Commission;
- iv) Develop a scheme of incentives and sanctions and a mechanism for their application to encourage compliance by all CPCs;
- v) Perform such other tasks as directed by the Commission.

4. IOTC Compliance Committee preparatory works:

4.1 In preparation for the meeting of the IOTC Compliance Committee the IOTC Secretariat will:

- i) send each CPC, 4 months prior to the annual meeting, a standard questionnaire on compliance with the various IOTC Resolutions governing conservation and management for receiving comments and answers from the concerned CPCs within 45 days;
- ii) circulate to all CPCs, 2 months prior the annual meeting, the comments and answers provided by each CPC in response to the questionnaire and invite comments and possible questions from all other CPCs;
- iii) compile CPCs' initial replies to the questionnaire and comments and questions provided by other CPCs in the form of tables that will form the basis for the compliance examination process. These tables will be distributed to CPCs for discussion during the IOTC Compliance Committee session;

4.2 The Chairperson of the IOTC Compliance Committee, assisted by the IOTC Secretariat, will identify, select and transmit the significant non-compliance issues to each concerned CPC and submit them for discussion in the IOTC Compliance Committee meeting at least 30 days in advance.



5. **Opinion of the IOTC Compliance Committee**

At the end of the meeting the IOTC Compliance Committee shall issue its opinion on the compliance status of each CPC.

6. IOTC Resolution 02/03 concerning *Terms of Reference for the IOTC Compliance Committee* is superseded by this Resolution.