

# Report of the 2<sup>nd</sup> Session of the IOTC Working Party on Implementation of Conservation and Management Measures

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Maputo, Mozambique, 13–15 February 2019

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## Acronyms

AFV	Authorized Fishing Vessel
CDS	Catch Documentation Scheme
CMM	Conservation and Management Measure (of the IOTC; Resolutions and Recommendations)
CoC	Compliance Committee
CPCs	Contracting Parties and Cooperating Non-Contracting Parties
EU	European Union
EEZ	Exclusive Economic Zone
FAD	Fish Aggregating Device
FAO	Food and Agriculture Organization of the United Nations
FMC	Fisheries Monitoring Centre
FOC	Flag of Convenience
IO	Indian Ocean
IOTC	Indian Ocean Tuna Commission
LL	Longline
MCS	Monitoring, Control and Surveillance
NGO	Non-governmental organization
PS	Purse-seine
PSMA	Port State Measures Agreement, adopted in 2009
RFMO	Regional Fisheries Management Organization
ROS	Regional Observer Scheme
SC	Scientific Committee, of the IOTC
UNFSA	United Nations Fish Stocks Agreement, adopted in 1995
VMS	Vessel Monitoring System
WP	Working Party
WPICMM	Working Party on the Implementation of Conservation and Management Measures

### HOW TO INTERPRET TERMINOLOGY CONTAINED IN THIS REPORT

The WPICMM01 Report has been written using the following terms and associated definitions so as to remove ambiguity surrounding how particular paragraphs should be interpreted.

**Level 1:** *From a subsidiary body of the Commission to the next level in the structure of the Commission:*

**RECOMMENDED, RECOMMENDATION:** Any conclusion or request for an action to be undertaken, from a subsidiary body of the Commission (Committee or Working Party), which is to be formally provided to the next level in the structure of the Commission for its consideration/endorsement (e.g. from a Working Party to the Scientific Committee; from a Committee to the Commission). The intention is that the higher body will consider the recommended action for endorsement under its own mandate, if the subsidiary body does not already have the required mandate. Ideally this should be task specific and contain a timeframe for completion.

**Level 2:** *From a subsidiary body of the Commission to a CPC, the IOTC Secretariat, or other body (not the Commission) to carry out a specified task:*

**REQUESTED:** This term should only be used by a subsidiary body of the Commission if it does not wish to have the request formally adopted/endorsed by the next level in the structure of the Commission. For example, if a Committee wishes to seek additional input from a CPC on a particular topic, but does not wish to formalize the request beyond the mandate of the Committee, it may request that a set action be undertaken. Ideally this should be task specific and contain a timeframe for the completion.

**Level 3:** *General terms to be used for consistency:*

**AGREED:** Any point of discussion from a meeting which the IOTC body considers to be an agreed course of action covered by its mandate, which has not already been dealt with under Level 1 or level 2 above; a general point of agreement among delegations/participants of a meeting which does not need to be considered/adopted by the next level in the Commission's structure.

**NOTED/NOTING:** Any point of discussion from a meeting which the IOTC body considers to be important enough to record in a meeting report for future reference.

**Any other term:** Any other term may be used in addition to the Level 3 terms to highlight to the reader of the IOTC report, the importance of the relevant paragraph. However, other terms used are considered for explanatory/informational purposes only and shall have no higher rating within the reporting terminology hierarchy than Level 3, described above (e.g. **CONSIDERED; URGED; ACKNOWLEDGED**).

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**EXECUTIVE SUMMARY**

The 2<sup>nd</sup> Session of the Indian Ocean Tuna Commission's (IOTC) Working Party on the Implementation of Conservation and Management Measures (WPICMM) was held in Maputo, Mozambique, from 13 to 15 February 2019. A total of 51 participants attended the Session. The meeting was opened by the Chairperson, Mr Roy Clarisse (Seychelles), who welcomed participants to the Mozambique.

The following is a subset of the recommendations from the WPICMM02, which are provided in full at [Appendix IX](#):

**Implementation of Working Party work plan**

WPICMM02.01 ([Para 8](#)): The WPICMM02 **CONSIDERED** the recommendation of the Compliance Committee (report IOTC-2018-CoC15-R, paragraph 93) and **RECOMMENDED** that the anomalies relating to paragraphs 1, 11, 13 and Annex II of IOTC Resolutions 18/08 (previously 17/08) be reviewed by the ad hoc FAD Working Group.

WPICMM02.02 ([Para 9](#)): The WPICMM02 **RECOMMENDED** that the IOTC Secretariat provide detailed information, by gear type and fisheries, on the level of compliance to measure related to sharks (Flag State; NC, CE, SF) to the CoC16.

WPICMM02.03 ([Para 12](#)): The WPICMM02 **RECOMMENDED** that:

Resolution 18/07 - to streamline and consolidate reporting obligations.

Resolution 18/06:

- The development of an e-portal;
- The inclusion of IMO number for carrier vessels;
- Only carrier vessels from CPCs are included in the list of authorised carrier vessels;
- Transshipment Declaration for in port transshipment should be less than 15 days,
- CVs engaged in in port transshipment should be include in the list of authorised CV,
- That rules for transshipment in port be developed.

Resolution 18/03 - further consideration should be given to some of the proposals to amend this resolution.

Resolution 16/11 - to continue the discussion on prohibition of the use of non-CPC ports by authorised fishing vessels.

Resolution 14/05 to continue the discussion on immediate reporting and sharing of foreign licence vessel lists.

Resolution 15/04:

- that photographs and other details not currently required to be provided, to be included in the list of mandatory information to be submitted at the time of the request to include a vessel in the IOTC Record of Authorised Vessels,
- that gear marking be addressed under a mechanism different from 15/04.

Resolution 15/01:

- that "production logbook" and "stowage plan" for carrier vessels (or for other types of vessels) be better regulated and logbook updating rules be added,
- To institute landing declarations, including submissions to flag State, port State and IOTC Secretariat.

Resolution 10/10:

- the reinforcement of Resolution 10/10, in accordance to the results derived by the consultant, to include intersessional removal of identification,
- further discussion on criteria that will result in objectivity of the identification process.

Resolution 05/03 – eliminate this resolution once assurance is received that equivalent measures are available in Resolution 16/11.

Resolution 01/06 – eliminate this Resolution and transfer the operative text to Resolution 03/03. The Resolution 03/03 will be eliminated once a CDS is in place.

Resolutions 16/05, 07/01, 01/03 and 99/02 be eliminated.

WPICMM02.04 ([Para 15](#)): The WPICMM02 **RECOMMENDED** that the VMS Steering Group consider options 2 and 3, ( in document IOTC-2019-WPICMM02-VMS Study) and possible variation of option 3 to take into account paragraph 15, as the basis for strengthening the IOTC VMS and continue its work, including a work plan and budget, and if necessary, a revision of the Resolution 15/03 for the consideration of the CoC16.

WPICMM02.05 ([Para 16](#)): The WPICMM02 **RECOMMENDED** that a Working Group be constituted to guide the development of a CDS noting that this will require endorsement by the Compliance Committee and Commission.

WPICMM02.07 ([Para 33](#)): The WPICMM02 **PROVIDED** additional comments to improve the template and **RECOMMENDED** that the revised final template, if adopted by the CoC16, should be circulated to CPCs for completion and submission by 15 January to the WPICMM03.

WPICMM02.08 ([Para 38](#)): The WPICMM02 **RECOMMENDED** that the remaining 32 definitions be deferred to further work or be considered under the “legal scrubbing”, as appropriate.

## 1. OPENING OF THE SESSION

1. The 2<sup>nd</sup> Session of the Indian Ocean Tuna Commission's (IOTC) Working Party on Implementation of Conservation and Management Measures (WPICMM) was held in Maputo, Mozambique, from 13 to 15 February 2019. A total of 51 participants (22 Members and two observers) attended the Session. The list of participants is provided at [Appendix I](#). The meeting was opened by the Chairperson of WPICMM, Mr Roy Clarisse (Seychelles), who welcomed participants to Mozambique, and thanked them for their presence for the second session of the WPICMM.

## 2. ADOPTION OF THE AGENDA AND ARRANGEMENTS FOR THE SESSION

2. The WPICMM02 **ADOPTED** the agenda provided in [Appendix II](#).

## 3. IMPLEMENTATION OF THE WPICMM WORK PLAN

### 3.1 *Progress report on the implementation of the WPICMM work plan and on the recommendations of the WPICMM01*

3. The WPICMM02 **NOTED** paper [IOTC–2019–WPICMM02–03](#), that described the progress on the implementation of the WPICMM Work Plan and on the recommendations of the WPICMM01.
4. The WPICMM02 **NOTED** the presentation made by the IOTC Secretariat regarding the progress made in the implementation of the WPICMM Work Plan where:
  - 39 actions are pending implementation,
  - 38 actions are ongoing in terms of their implementation and;
  - 1 action has been fully implemented.

Furthermore, the WPICMM02 **NOTED** the implementation of the recommendations of the WPICMM01 to the CoC15 where:

- 2 Recommendations have been noted by the Compliance Committee,
  - 5 Recommendations are ongoing in terms of their implementation and;
  - 6 Recommendations have been fully implemented.
5. The IOTC Secretariat **INFORMED** the WPICMM02 that the process to recruit the developers of the e-MARIS is currently on-going.

### 3.2 *Use of CPCs compliance assessment results to determine common challenges and difficulties with compliance (component 1, sub-component 1.2 of WP)*

6. The WPICMM02 **NOTED** paper [IOTC–2019–WPICMM02–04](#), which described the common challenges and difficulties with compliance by CPCs. The WPICMM02 **FURTHER NOTED** the avenue for improvement for Resolution 10/10 – *Concerning market related measures*; Resolution 11/04 – *On a Regional Observer Scheme*; Resolution 15/02 – *Mandatory statistics (Flag State; Nominal Catch, Catch and Effort, Size Frequency)*; Resolution 17/05 – *Sharks Mandatory statistics (Flag State; Nominal Catch, Catch and Effort, Size Frequency)* and Resolution 18/08 – *Procedures on a fish aggregating devices (FADs) management plan, including a limitation on the number of FADs, more detailed specifications of catch reporting from FAD sets, and the development of improved FAD designs to reduce the incidence of entanglement of non-target species*.
7. The WPICMM02 **AGREED** that Resolution 05/03 – *Relating to the establishment of an IOTC Programme of Inspection in Port*; Resolution 01/06 – *Concerning the IOTC Big Eye Tuna Statistical Document Programme* and Resolution 18/06 – *On Establishing a Programme for Transshipment by Large-Scale Fishing Vessels*, presented in the paper IOTC–2019–WPICMM02–04 would be discussed together with the paper IOTC–2019–WPICMM02–05b.
8. The WPICMM02 **CONSIDERED** the recommendation of the Compliance Committee (report IOTC-2018-CoC15-R, paragraph 93) and **RECOMMENDED** that the anomalies relating to paragraphs 1, 11, 13 and Annex II of IOTC Resolutions 18/08 (previously 17/08) be reviewed by the *ad hoc* FAD Working Group.
9. The WPICMM02 **RECOMMENDED** that the IOTC Secretariat provide detailed information, by gear type and fisheries, on the level of compliance to measure related to sharks (*Flag State; Nominal Catch, Catch and Effort, Size Frequency*) to the CoC16.

### 3.3 *Improve IOTC MCS scheme*

10. The WPICMM02 **NOTED** papers [IOTC–2019–WPICMM02–05a / 05b / 05c](#) and the consultant’s reports [IOTC-2019-WPICMM02-MCS CDS Study](#) and [IOTC-2019-WPICMM02-VMS Study](#).
11. The WPICMM02 **NOTED** that 17 Resolutions require actions to improve the IOTC MCS scheme.
12. The WPICMM02 **RECOMMENDED** that:
  - Resolution 18/07 - to streamline and consolidate reporting obligations.
  - Resolution 18/06:
    - the development of an e-portal;
    - the inclusion of IMO number for carrier vessels;
    - Only carrier vessels from CPCs are included in the list of authorised carrier vessels;
    - Transhipment Declaration for in port transhipment should be less than 15 days,
    - CVs engaged in port transhipment should be include in the list of authorised CV,
    - That rules for transhipment in port be developed.
  - Resolution 18/03 - further consideration should be given to some of the proposals to amend this resolution.
  - Resolution 16/11 - to continue the discussion on prohibition of the use of non-CPC ports by authorised fishing vessels.
  - Resolution 14/05 to continue the discussion on immediate reporting and sharing of foreign licence vessel lists.
  - Resolution 15/04:
    - that photographs and other details not currently required to be provided, to be included in the list of mandatory information to be submitted at the time of the request to include a vessel in the IOTC Record of Authorised Vessels,
    - that gear marking be addressed under a mechanism different from 15/04.
  - Resolution 15/01:
    - that “production logbook” and “stowage plan” for carrier vessels (or for other types of vessels) be better regulated and logbook updating rules be added,
    - To institute landing declarations, including submissions to flag State, port State and IOTC Secretariat.
  - Resolution 10/10:
    - the reinforcement of Resolution 10/10, in accordance to the results derived by the consultant, to include intersessional removal of identification,
    - further discussion on criteria that will result in objectivity of the identification process.
  - Resolution 05/03 – eliminate this resolution once assurance is received that equivalent measures are available in Resolution 16/11.
  - Resolution 01/06 – eliminate this Resolution and transfer the operative text to Resolution 03/03. The Resolution 03/03 will be eliminated once a CDS is in place.
  - Resolutions 16/05, 07/01, 01/03 and 99/02 be eliminated.
13. The WPICMM02 **ENCOURAGED** CPCs to decide, on individual or collective basis, which of the above CMMs they may wish to submit as proposals for future adoption.
14. The WPICMM02 **NOTED** the divergence of opinions on whether a mobile unit should report directly to the IOTC Secretariat or whether position data should be transmitted via the FMC of the flag State, or simultaneously to both.
15. The WPICMM02 **RECOMMENDED** that the VMS Steering Group consider options 2 and 3, (in document [IOTC-2019-WPICMM02-VMS Study](#)) and possible variation of option 3 to take into account paragraph 14, as



the basis for strengthening the IOTC VMS and continue its work, including providing a work plan and budget, and if necessary, a revision of the Resolution 15/03 for the consideration of the CoC16.

16. The WPICMM02 **RECOMMENDED** that a Working Group be constituted to guide the development of a CDS, **NOTING** that this will require endorsement by the Compliance Committee and Commission.

**3.4** *Review reporting requirements in all conservation and management measures (CMMs) in order to harmonize and streamline*

17. The WPICMM02 **NOTED** paper [IOTC–2019–WPICMM02–06](#), which described the reporting requirements that needs streamlining and harmonizing across tuna RFMOs and **FURTHER NOTED** the conclusions and recommendations of the consultant’s report.
18. Consistent with the findings of the work reported in the section 3.3, the consultant highlighted that there is merit in harmonizing the reporting requirements of some IOTC Resolutions.

**3.5** *Develop a methodology for the assessment of implementation by Members and Non-Contracting Cooperating Parties (CPCs), for producing the Country Compliance Reports provided annually to the Compliance Committee and flag States*

19. The WPICMM02 **NOTED** paper [IOTC–2019–WPICMM02–07](#), which presented the consultant’s findings.
20. The consultant indicated the difficulties of ranking reporting requirements because many reporting requirements cannot be considered in isolation.
21. The consultant further noted that the quantification of the impacts of non-compliance is difficult to estimate without considering the circumstances and requirements of each resolution.
22. The WPICMM02 **NOTED** that the consultant recommended a graduated approach to categorising compliance status.

**3.6** *Development of minimum regional standards for implementation of CMMs*

23. The WPICMM02 **NOTED** paper [IOTC–2019–WPICMM02–08](#), which described minimum regional standards for the implementation of CMMs and **FURTHER NOTED** the conclusions and recommendations of the consultant’s report.
24. The WPICMM02 **AGREED** that additional work would be necessary to identify Resolutions that lack reporting standards and **RECOMMENDED** that CMMs being proposed in the future should contain reporting standards, where applicable.

**3.7** *Review compliance requirements to identify reporting and implementation obligations that should be included in the Compliance Assessment Process*

25. The WPICMM02 **NOTED** paper [IOTC–2019–WPICMM02–13](#), which described a methodology to strengthen the IOTC Compliance assessment process.
26. The WPICMM02 **ACKNOWLEDGED** the work done by the European Union in providing this document and **AGREED** with the concept proposed.
27. The WPICMM02 **NOTED** that the European Union intends to submit a proposal to the Commission in June 2019 to amend Annex V of the IOTC Rules of Procedures, taking into consideration the comments made by the WPICMM02 participants..

**3.8** *Establish a baseline for IUU fishing activities based on International recommendations*

28. The WPICMM02 **NOTED** paper [IOTC–2019–WPICMM02–09](#), which described the methodology to establish a baseline for IUU fishing activities based on international recommendations.
29. The WPICMM02 **NOTED** the conclusions of the consultant’s report which indicated that the definitions provided in Resolution 18/03 for IUU fishing are fairly robust and, in general, are consistent with those in existing international instruments.
30. The WPICMM02 **AGREED** that a future iteration of Resolution 18/03 may provide an opportunity to extend specific activities defined as IUU fishing for clarity and to realign the definitions.

**3.9** *Provide recommendations to the Compliance Committee to assist CPCs in the design and implementation of national MCS systems*

31. The WPICMM02 **NOTED** paper [IOTC–2019–WPICMM02–10a](#), which contained a reporting template for the national Monitoring, Control and Surveillance (MCS) programme.

32. The WPICMM02 **ACKNOWLEDGED** the work conducted by the IOTC Secretariat on this report and the contributions by CPCs who provided comments.
33. The WPICMM02 **PROVIDED** additional comments to improve the template and **RECOMMENDED** that the revised final template, if adopted by the CoC16, should be circulated to CPCs for completion and submission by 15 January to the WPICMM03.
34. The WPICMM02 **AGREED** that the submission of the report subsequent to the WPICMM03 should only reflect updated information where appropriate.

### 3.10 *Review of the glossary of definitions and key terms used in IOTC Resolutions*

35. The WPICMM02 **NOTED** paper [IOTC–2019–WPICMM02–11 / 11a / 11b](#), which present the revised definition and comments made by seven CPCs.
36. The WPICMM02 **NOTED** that the statement on sovereignty made by Mauritius which is found in [Appendix III](#).
37. The WPICMM02 **AGREED** on 10 definitions.
38. The WPICMM02 **RECOMMENDED** that the remaining 32 definitions be deferred to further work or be considered under the “legal scrubbing”, as appropriate.

### 3.11 *Legal scrub of IOTC Resolutions*

39. The WPICMM02 **NOTED** paper [IOTC–2019–WPICMM02–12](#), which presented the Terms of Reference to conduct a legal scrubbing of the IOTC Resolutions and **AGREED** to the revised Terms of Reference, as provided in [Appendix IV](#).
40. The WPICMM02 **RECOMMENDED** that the revised Terms of Reference be submitted to the CoC16 for consideration.

### 3.12 *Review compliance requirements to identify reporting and implementation obligations that should be included in the Compliance Assessment Process*

41. The WPICMM02 **NOTED** papers [IOTC–2019–WPICMM02–14a/14b](#), which presented the newly added assessment criteria and **ADOPTED** the revised assessment criteria in [Appendix V](#).
42. The WPICMM **NOTED** there are 87 reporting requirements in the template of the Compliance Report for the next Compliance Committee (CoC16).
43. The WPICMM02 **REQUESTED** the IOTC Secretariat compile the Compliance Reports for the CoC16 using these criteria.

### 3.13 *Review and update of the WPICMM Work Plan*

44. The WPICMM02 **ADOPTED** a revised Work Plan of the WPICMM as provided in [Appendix VI](#).

## 4. AN ASSESSMENT OF SHARK FINNING IN INDIAN OCEAN TUNA COMMISSION FISHERIES

45. The WPICMM **NOTED** paper [IOTC–2019–WPICMM02–15](#), which described the findings of the consultant on status of compliance with the shark finning measures.
46. The WPICMM **NOTED** there is currently a lack of data to undertake any meaningful assessment on how CPCs are implementing this measures.
47. The WPICMM **RECOMMENDED** that CPCs provide information to the Commission on how they are implementing the measure related to sharks and further **ENCOURAGED** them to submit data in accordance with Resolution 15/02.

## 5. OTHER BUSINESS

### 5.1 *Date and place of the 3<sup>rd</sup> and 4<sup>th</sup> Sessions of the Working Party on Implementation of CMMs*

48. The WPICMM **THANKED** the Government of Mozambique, The European Union and the SWIOFISH2 project for supporting the 2<sup>nd</sup> Session of the WPICMM.

Draft meeting schedule for the WPICMM (2020 and 2021).

Meeting	2020			2021		
	No.	Date	Host Country	No.	Date	Host Country

Working Party on Implementation of CMMs (WPICMM)	3 <sup>rd</sup>	February/March (3d)	Kenya	4 <sup>th</sup>	TBD (3d)	TBD
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49. The WPICMM02 **NOTED** the increased attendance compare to the WPICMM01 (14 CPCs) and **REITERATED** its encouragement to CPCs to participate in the work of the WPICMM.

**5.2 Election of a Chairperson and Vice-Chairperson for the next biennium**

50. **NOTING** the Rules of Procedure (2014), the WPICMM02 elected Mr Roy Clarisse (Seychelles) to the position of Chairperson and Mr Benedict Kiilu (Kenya) to the position of Vice-chairperson for the biennium (2020 – 2021).

**5.3 Review of the draft, and adoption of the Report of the 2nd Session of the Working Party on Implementation of CMMs**

51. The WPICMM **RECOMMENDED** that the Compliance Committee consider the consolidated set of recommendations arising from WPICMM02, provided at [Appendix VII](#).
52. The report of the 2<sup>nd</sup> Session of the Working Party on the Implementation of Conservation and Management Measures (IOTC–2019–WPICMM02–R) was **ADOPTED** on the 15<sup>th</sup> February 2019.

## APPENDIX I

### LIST OF PARTICIPANTS

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**APPENDIX II**  
**ADOPTED AGENDA**

**ADOPTED AGENDA: SECOND MEETING OF THE WORKING PARTY ON THE IMPLEMENTATION OF CONSERVATION AND MANAGEMENT MEASURES**

V2 December 2018

**Date:** 13–15 February 2019

**Location:** Mozambique

**Venue:** Hotel Avenida, Maputo

**Time:** 0900–1700 daily

**Chair:** Mr. Roy Clarisse (Seychelles)

**Vice chair:** Mr. Benedict Kiilu (Kenya)

1. **OPENING OF THE SESSION** (Chair)
2. **ADOPTION OF THE AGENDA AND ARRANGEMENTS FOR THE SESSION** (Chair)
3. **ADMISSION OF OBSERVERS** (Chair)
4. **IMPLEMENTATION OF THE WPICMM WORKPLAN** (All)
  - 4.1 **Progress report on the implementation of the WPICMM work plan and on the recommendations of the WPICMM01** (Secretariat)
  - 4.2 **Use of CPCs compliance assessment results to determine common challenges and difficulties with compliance** [component 1, sub-component 1.2 of WP] (all)
  - 4.3 **Improve IOTC MCS scheme** [component 2, sub-component 2.1 of WP] (all)
  - 4.4 **Review reporting requirements in all conservation and management measures (CMMs) in order to harmonize and streamline** [component 3, sub-component 3.1 of WP] (all)
  - 4.5 **Develop a methodology for the assessment of implementation by Members and Non-Contracting Cooperating Parties (CPCs), for producing the Country Compliance Reports provided annually to the Compliance Committee and flag States** [component 4, sub-component 4.1 of WP] (all)
  - 4.6 **Development of minimum regional standards for implementation of CMMs** [component 7, sub-component 7.2 of WP] (all)
  - 4.7 **Establish a baseline for IUU fishing activities based on International recommendations** [component 8 of WP] (all)
  - 4.8 **Provide recommendations to the Compliance Committee to assist CPCs in the design and implementation of national MCS systems** [component 11 of WP] (all)
  - 4.9 **Review of the glossary of definitions and key terms used in IOTC Resolutions** [component 17, sub-component 17.1 of WP] (all)
  - 4.10 **Legal scrub of IOTC Resolutions** [component 17, sub-component 17.2 of WP] (all)
  - 4.11 **Review compliance requirements to identify reporting and implementation obligations that should be included in the Compliance Assessment Process** [component 18, sub-component 18.1 of WP]
  - 4.12 **Review and update of the WPICMM Work Plan** (all)
5. **REVIEW OF THE ASSESSMENT CRITERIA FOR THE 2019 CPCs' COMPLIANCE REPORT** (All)
6. **AN ASSESSMENT OF SHARK FINNING IN INDIAN OCEAN TUNA COMMISSION FISHERIES** (All)
7. **ANY OTHER BUSINESS** (Chair)
  - 6.1. Election of a Chairperson and a Vice-Chairperson for the next biennium (Chair/CPCs)
  - 6.2. Date and place of the 3<sup>rd</sup> and 4<sup>th</sup> Sessions of the WPICMM (Chair/CPCs)
  - 6.3. Review of the draft, and adoption of the Report of the Second Session of the WPICMM (Chair)

**APPENDIX III**  
**STATEMENT BY MAURITIUS**

**Agenda Item 3.1: Review of the glossary of definitions and key terms used in IOTC Resolutions**  
**Statement by the Republic of Mauritius**

STATEMENT BY MAURITIUS

(Chagos Archipelago)

Statement by the Republic of Mauritius

The Government of the Republic of Mauritius reiterates that the Chagos Archipelago, including Diego Garcia, forms an integral part of the territory of the Republic of Mauritius.

The Government of the Republic of Mauritius reaffirms that it does not recognize the so-called “British Indian Ocean Territory” which the United Kingdom purported to create by illegally excising the Chagos Archipelago from the territory of Mauritius prior to its accession to independence, in violation of international law and of United Nations General Assembly Resolutions 1514 (XV) of 14 December 1960, 2066 (XX) of 16 December 1965, 2232 (XXI) of 20 December 1966 and 2357 (XXII) of 19 December 1967.

The Government of the Republic of Mauritius further reiterates that the United Kingdom is not entitled to be a member of the Indian Ocean Tuna Commission (IOTC) as it is not a “coastal State situated wholly or partly within the Area [of competence of the Commission]”. Nor can the so-called “BIOT” claim to be a member of the IOTC on the basis of Article IV of the IOTC Agreement.

The Government of the Republic of Mauritius strongly objects to the use of terms such as “U.K. (I.O. Territories)” in documents which have been circulated for this meeting, in so far as these terms purport to refer to the Chagos Archipelago as a British territory or to imply that the United Kingdom or the so-called “BIOT” is entitled to be a member of the IOTC.

In the light of the foregoing, the delegation of the Republic of Mauritius has no objection to the adoption of the draft agenda, subject to the Republic of Mauritius reserving its right to object to the consideration of any documents purportedly submitted by the United Kingdom, including in respect of the so-called “BIOT” which is not recognized by the Government of the Republic of Mauritius, and any other documents submitted by the Secretariat or any other party in relation to the so-called “BIOT”.

Should any document which purports to refer to the Chagos Archipelago as the so-called “BIOT” or as a British territory be considered, such consideration as well as any action or decision that may be taken on the basis of any such document cannot and should not be construed in any way whatsoever as implying that the United Kingdom has sovereignty or analogous rights over the Chagos Archipelago or that the United Kingdom or the so-called “BIOT” is entitled to be a member of the IOTC.

The Republic of Mauritius also reserves all its rights under international law, including under Article XXIII of the Agreement for the Establishment of the Indian Ocean Tuna Commission.

This statement is applicable to all agenda items under which the Chagos Archipelago is dealt with.



## APPENDIX IV

### TERMS OF REFERENCE TO CONDUCT A LEGAL SCRUBBING OF THE IOTC RESOLUTIONS

#### Background and context

It is fundamental to the common understanding and effective implementation of multilateral legal instruments – whether voluntary or legally binding – that they are clear, consistent and well defined. Otherwise, situations may occur in which parties have different interpretations of legal instruments and implement them unevenly. This gives rise to misunderstandings, conflicts and ineffective or no implementation of the instrument and its objectives.

The Indian Ocean Tuna Commission (IOTC) is an intergovernmental organization established under Article XIV of the FAO Constitution. Its objective is to ensure through appropriate management, the conservation and optimum utilization of the tuna and tuna-like species in the Indian Ocean and adjacent seas, and to encourage their sustainable development. To achieve this objective, the Contracting Parties and Cooperating non-Contracting Parties (CPCs) adopt legally binding resolutions which contain conservation and management measures (CMMs) which parties are obligated to implement. CMMs have been adopted at annual IOTC Sessions since 1999, and as of October, 2018, there are 57 active CMMs, comprised of 54 Resolutions and 3 Recommendations.

To strengthen understanding of, and compliance with the CMMs, IOTC publishes an annual Compendium of Active Conservation and Management Measures for the Indian Ocean, pursuant to *Resolution 13/01 On the Removal of Obsolete Conservation and Management Measures*. This was a significant for clarifying the interrelated nature of the CMMs and strengthening implementation and compliance.

A special fund for capacity building to ensure compliance with CMMs, and a work program of capacity building activities was established under Resolution 12/10, *To promote implementation of Conservation and Management Measures already adopted by IOTC*. This was superseded by Resolution 16/10 which recognized the desirability of improving the coherence, interpretation and accessibility of its CMMs. Both Resolutions drew attention to challenges in implementation and compliance based on confusions caused, among others, by: frequent addition of new such measures and modifications to existing ones; complicated structure; and duplication of CMMs on one subject.

The special fund supported a project in the IOTC work program to review fisheries law and regulations of CPCs. Assistance was provided to certain CPCs to translate the relevant obligations in IOTC Resolutions into binding national legal requirements in order to enhance the effective of implementation of and compliance with CMMs. The activity included a review of active IOTC Resolutions and preparation of draft provisions for incorporation of their requirements into national legislation, and then provided tailored guidance to certain CPCs on implementing the provisions at national level.

The review of active Resolutions<sup>1</sup> drew attention to a range of concerns with the use of terms in the Resolutions that hampered harmonized implementation, including the absence of an approved set of definitions of key terms, inconsistent use and formatting of terms and conflicting definitions/no implementation of terms defined in international instruments and best practices. The absence of an approved set of definitions of key terms combined with the lack of rigor in the terminology used within and throughout CMMs affects the clear and common understanding of these measures, which, in turn, is also likely to undermine the effectiveness of their implementation. It was recommended that a glossary of terms be adopted for use in implementation of and compliance with Resolutions, and the need for general legal technical consistency among CMMs was noted.

In 2016, the 13th session of the Compliance Committee (CoC 13) considered that the adoption of a set of key terms along with their definitions should be seen as the first step in the process of strengthening the global coherence of IOTC CMMs. To be effective, it was proposed that the terms and definitions should be supplemented by additional measures, including on a process for their use in preparing new Resolutions and Recommendations. The CoC noted that the Scientific Committee already had a set of terms and definitions and recommended that further work be undertaken in the future to ensure a harmonized set of Terms and Definitions is developed for the Commission and its subsidiary bodies.

The 1st Session of the IOTC Working Party on Implementation of Conservation and Management Measures (WPICMM), held in March 2018, noted two papers in this regard.<sup>2</sup> One identified weaknesses in IOTC CMMs due to inconsistent use of terms, lack of definition of key terms and use of terms that are not “terms of legal art”, and

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<sup>1</sup> The report, prepared in 2015, is available at: <http://www.iotc.org/compliance/capacity-building-compliance>.

<sup>2</sup> The papers are, respectively, IOTC–2018–WPICMM–03 and IOTC–2018–WPICMM–04 and are at <http://www.iotc.org/meetings/1st-meeting-iotc-working-party-implementation-conservation-and-management-measures-wpicmm01>.



provided a summary of IOTC Resolutions that require particular attention and amendments. The WPICMM agreed that a number of Resolutions:

- use inconsistent, weak or confusing definitions;
- use terms that are not terms of legal art;
- lack definitions of terms; and
- require amendments to include terms and definitions that are terms of legal art.

It acknowledged that such amendments will improve the understanding of Resolutions, hence improve implementation at national level and further strengthen compliance by CPCs.

The WPICMM noted that the list of Resolutions described in the paper was not exhaustive, and recommended that the 15th session of the Compliance Committee (CoC15) consider putting the list of active IOTC CMMs through a legal “scrub”, to improve legal soundness, harmonise terms and definitions and to use terms that are terms of legal art.

The second paper reviewed and updated the IOTC Terms and Definitions and proposed a draft glossary of terms and definitions which should be used by Members while drafting proposals for CMMs for consideration by the Commission. The WPICMM noted the significance of this document and recommended that CPCs be allowed six months to provide comments and observations on each of the definitions listed in the paper. It recommended that CoC15 provide clarifications on what will be the use of the glossary of terms and definitions.

Both recommendations were noted by CoC15, and the Commission endorsed the report of CoC15 at its 22<sup>nd</sup> Session held in May, 2018.

The legal scrub is a standard part of the treaty-making process that takes place after a final text has been agreed. It is normally carried out by a group of lawyers of the negotiating States and aims to focus on technical legal irregularities, including use of terms, formatting, inconsistencies and other without changing the substance of the text. It can result in minor changes to the text in the interests of clarity and harmonized implementation.

Legal scrubs take into account relevant international and regional law and instruments (voluntary or legally binding), best practices (including among RFMOs) and legal “terms of art”. Many IOTC Resolutions refer to relevant international instruments in the preamble, including the 1982 UN Convention on the Law of the Sea, the 1995 UN Fish Stocks Agreement, the 1995 FAO Compliance Agreement, the 2009 FAO Agreement on Port State Measures and various General Assembly Resolutions, and consistency with these instruments – as well as more general law, instruments and practices – should be ensured.

Mechanisms and procedures for ensuring the implementation of the same standards for the preparation of future Resolutions and Recommendations should be considered.

It is expected that the consultant’s work will lead to the formulation of recommendations on the legal technical amendment of IOTC Resolutions and Recommendations to ensure consistency and clarity for implementation and compliance, and on a mechanism and process to ensure this standard is applied in the future.

#### **Assignment title**

Legal assistance to the IOTC on matters related to strengthening the harmonized implementation of and compliance with the Resolutions and Recommendations adopted by the Indian Ocean Tuna Commission through a legal scrub and guidance as appropriate in relation to technical legal drafting of future Resolutions and Recommendations.

#### **Purpose of this assignment**

The purpose of this assignment is to provide legal assistance to the IOTC on matters related to strengthening the harmonized implementation of and compliance with the Conservation and Management Measures (Resolutions) adopted by the Indian Ocean Tuna Commission by conducting a technical legal scrubbing of all active IOTC Resolutions and Recommendations and to provide guidance as appropriate in relation to mechanisms and procedures for technical legal drafting in the preparation of proposals for future Resolutions and Recommendations.

The consultancy work shall address the following:

- Undertake a review and legal scrub of all active IOTC Resolutions and Recommendations, ensuring consistency both within the Resolutions and Recommendations and with relevant international and regional instruments (voluntary and legally binding) and practices of other RFMOs, best practices, relevant glossaries (including the FAO Fisheries and Scientific Glossaries) and use of “terms of legal art” in legal drafting, including ensuring consistency in:
  - the definition and use of terms, noting the papers and glossary considered by WPICMM01 together with subsequent comments by members, and updating/elaborating as appropriate terms: considered at CoC 13; that appear in the Scientific Glossary but where the definitions are erroneous or difficult to understand; that have not yet been defined; that should be renamed.
  - formatting and substantive provisions among all Resolutions and Recommendations.
- Recommend a mechanism and process that provides for the technical legal standards on a continuing basis in relation to the preparation of proposals for future Resolutions and Recommendations.

## Methodology

Under the supervision of the IOTC Secretariat, the consultant will undertake the following activities:

1. Review active IOTC Resolutions and Recommendations for inconsistencies, errors and omissions, taking into account relevant international and regional instruments (voluntary and legally binding), best practices, legal “terms of art” and relevant glossaries. The review should include the glossary under development by WPICMM, and the paper it considered on the inconsistent use of terms in CMMs.<sup>3</sup>
2. Prepare a preliminary draft report for review and guidance by IOTC that identifies (a) Resolutions and Recommendations that will require a scrub and briefly summarizes the reasons for each (e.g. inconsistencies, errors and omissions) and (b) any issues where IOTC technical guidance may be needed, taking into account *inter alia*:
  - a) the use of terms and provisions both within the Resolutions and Recommendations and with relevant international and regional instruments (voluntary and legally binding), best practices (including of other RFMOs as appropriate, relevant glossaries (including those in IOTC and FAO) and use of “terms of legal art” in legal drafting;
  - b) any need for technical legal formatting including structure, paragraph numbering, use of punctuation, proper legal terms, etc.;
  - c) any need for further legal references;
  - d) any need for amendment of the IOTC Scientific Glossary;
  - e) any comments on input received from members on the draft glossary considered at WPICMM 1.

If any provisions show major substantive inconsistencies, errors or omissions with applicable international or regional instruments, this should be raised with IOTC and as appropriate flagged as a substantive matter for further consideration outside the technical legal scrub.

The preliminary report should include the following parts: (a) an overview that summarizes the types of amendments and issues to be addressed; (b) a part that identifies each Resolution/ Recommendation to be amended together with a draft amendment and a brief reason(s) (e.g. inconsistency, error, omission etc).

As appropriate, the report may also identify indicative substantive issues, not of a legal technical nature subject to the scrub, that should be considered separately by the IOTC CPCs.

3. Taking into account comments from IOTC, prepare a final report that includes:
  - an introduction and background;
  - a summary of the overarching issues addressed;
  - the legal scrub, including elements described in (a) – (e) of paragraph 2 above, with proposed amendments to be submitted in track changes;
  - explanatory notes for the proposed amendments, shown as a comment for each;
  - as needed, recommendations to update the glossary that was presented at WPICMM01 and as appropriate a review of members’ comments received since that meeting and recommendations to update the IOTC Scientific Glossary;
  - as appropriate, substantive issues, not of a legal technical nature subject to the scrub, that should be considered separately by the IOTC CPCs;
  - recommendations on a mechanism or process that provides for the implementation of the outcome of the legal scrub on a continuing basis in relation to the preparation of proposals for future Resolutions and Recommendations.

## Deliverables

The deliverables are:

1. The draft final report (electronic) and recommendations of the Legal Scrub of IOTC Resolutions submitted to Secretariat for circulation to CPCs,
2. The final report (electronic) and recommendations of the Legal Scrub of IOTC Resolutions for consideration by the WPICMM that includes:

<sup>3</sup> See note 2: IOTC–2018–WPICMM–03. <http://www.iotc.org/meetings/1st-meeting-iotc-working-party-implementation-conservation-and-management-measures-wpicmm01>.

- an introduction and background;
- a summary of the overarching issues addressed;
- the legal scrub, including elements described in (a) – (e) of paragraph 2 above, with proposed amendments to be submitted in track changes;
- explanatory notes for the proposed amendments, shown as a comment for each;
- as needed, recommendations to update the glossary that was presented at WPICMM01 and as appropriate a review of members' comments received since that meeting and recommendations to update the IOTC Scientific Glossary;
- as appropriate, substantive issues, not of a legal technical nature subject to the scrub, that should be considered separately by the IOTC CPCs;
- recommendations on a mechanism or process that provides for the implementation of the outcome of the legal scrub on a continuing basis in relation to the preparation of proposals for future Resolutions and Recommendations,
- Any other recommendations that the consultant may see relevant for this exercise.

3. The consultant will attend a meeting of the WPICMM to present the report

### **Qualifications and Experience**

The consultant should be able to demonstrate a successful history of consultancy engagements related to these Terms of Reference.

#### *Qualifications and skills*

- A post graduate degree (LL.M. or equivalent in international law with expertise in law of the sea or equivalent)
- Excellent communication, legal drafting skills
- A high level of proficiency in written and spoken English

#### *Experience*

- Experience in the region/countries of the IOTC and knowledge of IOTC functioning
- Minimum 10 years of professional experience in international law and areas of law closely related to RFMOs and fisheries management
- Demonstrated knowledge of international and regional fisheries instruments related to IOTC Resolutions
- Experience in legal drafting of international fisheries instruments
- Ability to work under pressure and meet tight deadlines

### **Beneficiaries**

The beneficiaries of the project are the CPCs of the IOTC.

### **Technical supervisor**

The technical supervisor of the expert will be the Secretariat of the Indian Ocean Tuna Commission.

### **Location, travel and expected starting date**

The preparation of the paper is home based, and consultant will attend the meeting of the WPICMM to present the work.

### **Indicative number of working days**

The assignment duration is 25 working days, including attendance at the WPICMM.

## APPENDIX V ASSESSMENT CRITERIA

N°	Source	Information required	Status Content	Result of assessment <i>Note: Causes mentioned below are not exhaustive and are only example; other causes can apply depending of the context and information available.</i>	Observations <i>Note: Observations mentioned below are not exhaustive and are only example, other observations can apply depending of the context and information available.</i>	Year reporting on/Year assessed	
<b>1. Implementation obligations</b>							
1.1.	Art. X Agreement	Report of Implementation (IR)	C	IR received and all sections completed	Received [Date]	<b>2018</b>	
			P/C	IR received, at least one section not completed	Received [Date], X section(s) not completed		
			N/C	No IR received	No IR received		
			N/A	<i>IR mandatory for all CPCs.</i>			
1.2.	Rules of Procedure.	Compliance Questionnaire (CQ)	C	CQ received and all section(s)/question(s) completed	Received [Date]	<b>2018</b>	
			P/C	CQ received, at least one section/question not completed	Received [Date], X section(s)/question(s) not completed		
			N/C	No CQ received	No CQ received		
			N/A	<i>CQ mandatory for all CPCs.</i>			
1.3.	SC	National Scientific Report (NR)	C	NR received and all sections completed	Received [Date]	<b>2017</b>	
			P/C	NR received, at least one section not completed	Received [Date], X section(s) not completed		
			N/C	No NR received	No NR received		
			N/A	<i>NR mandatory for all CPCs.</i>			
1.4.	Commission	Feedback letter (FL)	C	FL received and all issues responded	Received [Date]	<b>2018</b>	
			P/C	FL received, incomplete response.	Received [Date], X issue(s) not responded.		
			N/C	No FL received.	No FL received.		
			N/A	The CPC was not issued a FL, a response is not required.	No feedback letter was issued.		
<b>2. Management Standards</b>							
2.1.	Res. 15/04	Documents listed in this resolution on board  Documents = Certificates of vessel registration & valid authorisation to fish and/or tranship	C	Information provided in IR/CQ including reference to national legislation.	Legal Reference: Act/regulation/decreree XX.	<b>2018</b>	
			P/C	Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ.	Has indicated documents are on board/No legal reference provided/Conflicting information IR/CQ. Information from other reporting requirement(s) indicate some vessels have no documents onboard (e.g. ROP/Port inspection)		
			N/C	No information provided in IR/CQ.	No information provided.		
			N/A	CPC has no vessel on the Record of authorised vessels	Does not have vessels on the IOTC Record of authorised vessels.		
		Marking of vessels  FAO Standard		C	Information provided in IR/CQ including reference to national legislation.	Legal Reference: Act/regulation/decreree XX.	<b>2018</b>
				P/C	Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ.	Has indicated vessels are marked/No legal reference provided/Conflicting information IR/CQ/Information from other reporting requirement(s) indicate some vessels are not marked (e.g. ROP/Port inspection)	
				N/C	No information provided in IR/CQ.	No information provided.	
				N/A	CPC has no vessel on the Record of authorised vessels	Does not have vessels on the IOTC Record of authorised vessels.	
	Marking of gears		C	Information provided in IR/CQ including reference to national legislation.	Legal Reference: Act/regulation/decreree XX.	<b>2018</b>	

N°	Source	Information required	Status Content	Result of assessment Note: Causes mentioned below are not exhaustive and are only example; other causes can apply depending of the context and information available.	Observations Note: Observations mentioned below are not exhaustive and are only example, other observations can apply depending of the context and information available.	Year reporting on/Year assessed
			P/C	Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ.	Has indicated gears are marked/No legal reference provided/Conflicting information IR/CQ/ Information from other reporting requirement(s) indicate some gears are not marked (e.g. ROP/Port inspection)	
			N/C	No information provided in IR/CQ.	No information provided.	
			N/A	CPC has no LL/GN vessel on the Record of authorised vessels.	No LL/GN on the Record of authorised vessels.	
		Marking of FADs	C	Information provided in IR/CQ including reference to national legislation.	Legal Reference: Act/regulation/decree XX.	2018
			P/C	Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ.	Has indicated FAD are marked/No legal reference provided/Conflicting information IR/CQ/ Information from other reporting requirement(s) indicate some FADs are not marked (e.g. ROP/Port inspection)	
			N/C	No information provided in IR/CQ.	No information provided.	
			N/A	CPC does not have PS on Record of authorised vessels	Does not have PS vessel on the IOTC Record of authorised vessels.	
		Logbook on board	C	Information provided in IR/CQ including reference to national legislation.	Legal Reference: Act/regulation/decree XX.	2018
			P/C	Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ.	Has indicated logbook are on-board/No legal reference provided/Conflicting information IR/CQ/ Information from other reporting requirement(s) indicate logbooks are not on board (e.g. ROP/Port inspection)	
			N/C	No information provided in IR/CQ.	No information provided.	
			N/A	CPC has no vessel on the Record of authorised vessels.	Does not have vessel on the IOTC Record of authorised vessels.	
		Official authorisation to fish outside National Jurisdictions (ATF)	C	Template ATF and Competent Authority information: name, name & signature of personnel; official stamp provided	Received [Date] or Updated[Date]	2018
			P/C	One of the following information was not provided: Template ATF, Competent Authority information: name, name & signature of personnel; official stamp	Received [Date], Missing [Template ATF, Competent Authority information: name, name of personnel; signature of the personnel; official stamp] and/or Information from other reporting requirement(s) indicate ATF not onboard or not matching mandatory information provided (e.g. ROP/Port inspection).	
		Mandatory information: Template ATF and Competent Authority information: name, name & signature of the personnel; official stamp	N/C	Template ATF and Competent Authority information: name, name of contact; signature of the personnel; official stamp not provided	No information provided.	
			N/A	CPC does have vessel on the Record of authorised vessels.	Does not have vessel on the IOTC Record of authorised vessels.	
		IMO number for eligible vessels	C	Has provided IMO number for eligible vessels/Has informed on non-eligible vessels.	Has XX eligible vessels on the Record of authorised vessels and XX with IMO numbers. Has XX non-eligible vessels on the Record of authorised vessels and reported XX non-eligible vessels.	1 January 2017
			P/C	Has not provided information for all vessels on the Record of authorised vessels	Has XX vessels on the Record of authorised vessels and ZZ with IMO numbers/non-eligible vessels.	
			N/C	Has not provided IMO number/status of eligibility	No information provided.	

N°	Source	Information required	Status Content	Result of assessment Note: Causes mentioned below are not exhaustive and are only example; other causes can apply depending of the context and information available.	Observations Note: Observations mentioned below are not exhaustive and are only example, other observations can apply depending of the context and information available.	Year reporting on/Year assessed
			N/A	CPC has no vessel on the Record of authorised vessels.	Does not have vessel on the IOTC Record of authorised vessels	
2.2.	Res. 15/01	Official fishing logbooks	C	Template of official fishing logbook provided. Translation in English/French provided if logbook not in one of IOTC language.	Received [Date] or Updated[Date]	<b>2018</b>
			P/C	Template of official fishing logbook provided. Translation in English/French not provided if logbook not in one of IOTC languages. Logbook not provided for all gear/vessel type on the Record of authorised vessels.	Received [Date], and Missing translation in [English/French] and/or Logbook for [PS/LL/GN/BB] provided but missing logbook for [PS/LL/GN/BB]. and/or Information from other reporting requirement(s) indicate fishing logbook onboard is not matching the official fishing logbook provided (e.g. ROP/Port inspection)	
			N/C	Template of official fishing logbook not provided.	No information provided.	
			N/A	CPC has no vessel on the Record of authorised vessels.	Does not have vessel on the IOTC Record of authorised vessels.	
2.3.	Res. 17/07	Ban on large-scale driftnets	C	Information provided in IR/CQ including reference to national legislation.	Banned since [Date], Legal Reference: Act/regulation/decreet XX.	<b>2018</b>
			P/C	Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ.	Has indicated 2.5 km driftnet are banned/No legal reference provided/Conflicting information IR/CQ/ Information from other reporting requirement(s) indicate Driftnets have been used on the high seas (e.g. ROP/Port inspection).	
			N/C	No information provided in IR/CQ.	No information provided.	
2.4.	Res. 18/08	FADs management plan	C	Has PS on the Record of authorised vessels. FAD Plan received and information provided according to the guideline	Received [Date] or Updated[Date]	<b>2018</b>
			P/C	Has PS on the Record of authorised vessels. FAD Plan received and information not provided according to the guideline and/or incompleted	Received or updated [Date]; Plan not provided at IOTC standard; XX sections not completed.	
			N/C	Has PS on the Record of authorised vessels, no FAD plan provided.	Has XX PS on the Record of authorised vessels; No information provided.	
			N/A	No PS on the Record of authorised vessels or FADs are not used or PS not active.	Does not have PS on the IOTC Record of authorised vessels, or fleet does not use FADs or PS not active in [YEARS].	
		Report of progress on implementation of FADs management plan	C	Has already submitted a FAD plan; has submitted the progress report	Received [Date]	<b>2018</b>
			P/C	Has already submitted a FAD plan; has submitted the progress report but some sections of the plan not reviewed.	Received [Date]; XX sections of the plan not reviewed.	
			N/C	Has already submitted a FAD plan; has not submitted the progress report	No information provided.	
			N/A	No PS/fleet on the Record of authorised vessels, or FADs are not used or PS not active.	Does not have PS on the IOTC Record of authorised vessels, or fleet does not use FADs or PS not active in [YEARS].	
2.5.	Res. 16/07		C	CPC has vessels operating beyond territorial waters. Information provided in IR/CQ including reference to national legislation.	Banned since [Date], Legal Reference: Act/regulation/decreet XX.	<b>2018</b>

N°	Source	Information required	Status Content	Result of assessment Note: Causes mentioned below are not exhaustive and are only example; other causes can apply depending of the context and information available.	Observations Note: Observations mentioned below are not exhaustive and are only example, other observations can apply depending of the context and information available.	Year reporting on/Year assessed
		Prohibition of surface or submerged artificial lights to attract fish.	P/C	Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ.	Has indicated artificial lights is banned/No legal reference provided/Conflicting information IR/CQ/ Information from other reporting requirement(s) indicate use of artificial lights (e.g. Port inspection).	
			N/C	No information provided in IR/CQ.	No information provided.	
			N/A	CPC does not have vessel operating beyond territorial waters or CPC is not a coastal State and does not have vessel on the IOTC RAV.	Does not have vessel operating beyond territorial waters or is not a coastal State and no vessel on the IOTC	
2.6.	Res. 16/08	Prohibition of aircrafts and unmanned aerial vehicles.	C	CPC has vessels operating in the IOTC Area of Competence. Information provided in IR/CQ including reference to national legislation.	Banned since [Date], Legal Reference: Act/regulation/decree XX.	2018
			P/C	CPC has vessels operating in the IOTC Area of Competence. Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ.	Has indicated aircrafts and unmanned aerial vehicles is banned/No legal reference provided/Conflicting information IR/CQ/ Information from other reporting requirement(s) indicate use of aircrafts and unmanned aerial vehicles.	
			N/C	No information provided in IR/CQ.	No information provided.	
			N/A	CPC does not have vessels operating in the IOTC Area of Competence or CPC is not a coastal State.	Does not have vessel operating in the IOTC Area of Competence.	
2.7.		Report on methods for achieving the YFT catch reductions.	C	Catch reductions applies to CPC, Report provided and/or information provided in IR/CQ.	Report/information received [Date], Methods are [XXX].	2018
			P/C	Catch reductions applies to CPC, Report provided and/or Information provided in IR/CQ, conflicting information between IR & CQ.	Report/information received [Date], Methods are [XXX]/Conflicting information IR/CQ.	
			N/C	No report or information provided in IR/CQ.	No information provided.	
			N/A	Catch reductions does not apply to CPC.	Catch reductions does not apply to CPC.	
2.8.		Purse seiners served by supply vessel.	C	Has PS/ SP vessels on the Record of authorised vessels, Information provided and/or information provided in IR/CQ.	Report/information received [Date].	2019
			P/C	Has PS/SP vessels on the Record of authorised vessels, Information provided and/or Information provided in IR/CQ, conflicting information between IR & CQ.	Report/information received [Date], Conflicting information IR/CQ.	
			N/C	Has PS/SP vessels on the Record of authorised vessels, No report or information provided in IR/CQ.	Has XX PS and YY SP on the Record of authorised vessels, no information provided.	
			N/A	Has no PS/SP vessels on the Record of authorised vessels, does not apply to CPC	Does not have PS/SP vessels operating in the IOTC Area of Competence.	
2.9.	Res. 18/01	Plans for reducing the use of supply vessel.	C	Has PS/ SP vessels on the Record of authorised vessels, Plan provided and/or information provided in IR/CQ.	Plan received [Date].	2018
			P/C	Has PS/SP vessels on the Record of authorised vessels, Plan provided and/or Information provided in IR/CQ, conflicting information between IR & CQ.	Plan received [Date], Conflicting information IR/CQ.	
			N/C	Has PS/SP vessels on the Record of authorised vessels, No plan provided in IR/CQ.	Has XX PS and YY SP on the Record of authorised vessels, no information provided.	
			N/A	Has no PS/SP vessels on the Record of authorised vessels, does not apply to CPC	Does not have PS/SP vessels operating in the IOTC Area of Competence.	



N°	Source	Information required	Status Content	Result of assessment Note: Causes mentioned below are not exhaustive and are only example; other causes can apply depending of the context and information available.	Observations Note: Observations mentioned below are not exhaustive and are only example, other observations can apply depending of the context and information available.	Year reporting on/Year assessed
2.10		Nominal catch of YFT	C	For PS, CPC reduced catch by 15% from applicable level (2014/2015). For LL/GN, CPC reduced catch by 10 % from applicable level (2014/2015). For other gears, CPC reduced catch by 5% from applicable level (2014/2015). CPC to which the quota is applicable, catch reduction reached for all gears.	Gear A : 2014 or 2015 catch: xxxx T; 2017 catch: xxxx T ; Reduction: xx% Catch reduction(s) reached.	2017
			P/C	For PS, CPC reduced catch by 15% from applicable level (2014/2015). For LL/GN, CPC reduced catch by 10 % from applicable level (2014/2015). For other gears, CPC reduced catch by 5% from applicable level (2014/2015). CPC with several gears to which the quota is applicable, catch reduction not reached for at least one gear.	Gear A : 2014 or 2015 catch: xxxx T; 2017 catch: xxxx T ; Reduction: xx% Gear B : 2014 or 2015 catch: xxxx T; 2017 catch: xxxx T ; Reduction: xx% Catch reduction reached for gear B but not for gear A.	
			N/C	For PS, CPC reduced catch by 15% from applicable level (2014/2015). For LL/GN, CPC reduced catch by 10 % from applicable level (2014/2015). For other gears, CPC reduced catch by 5% from applicable level (2014/2015). Catch reduction not reached for all gears to which the qouta is applicable	Gear A : 2014 or 2015 catch: xxxx T; 2017 catch: xxxx T ; Reduction: xx% Gear B : 2014 or 2015 catch: xxxx T; 2017 catch: xxxx T ; Reduction: xx% Catch reduction(s) not reached.	
			N/A	Catch reduction does not apply to CPC.	Catch reduction does not apply to CPC.	
2.11	Res. 18/07	Report actions taken to implement reporting obligations & improve data collection of catches.	C	CPC reports catch data. Information on actions provided in IR/CQ.	Information received [Date] (CQ/IR) Actions are: XXX	2017
			P/C	CPC reports catch data. Information provided in IR/CQ, conflicting information between IR & CQ.	Information received [Date] (CQ/IR). conflicting information between IR & CQ. Actions are: XXX	
			N/C	No information provided in IR/CQ.	No information provided.	
			N/A	CPC does not have vessels operating in the IOTC Area of Competence	Does not have vessel operating in the IOTC Area of Competence	
<b>3. Reporting on Vessels</b>						
3.1.	Res. 10/08	List of Active vessels	C	Has vessels on the Record of authorised vessels, List received and information provided at IOTC Standard.	Received [Date]	2018
			P/C	Has vessels on the Record of authorised vessels, List received but information not provided at IOTC standard.	Received [Date]; Information not provided at IOTC standard; missing [e.g. IRCS; NRN].	
			N/C	Has vessels on the Record of authorised vessels, No list received.	Has XX vessels on the Record of authorised vessels; no information provided	
			N/A	No vessel on the Record of authorised vessels.	Does not have vessel on the IOTC Record of authorised vessels.	
3.2.	Res. 15/11	Fleet Development Plan (FDP)	C	A FDP has been provided wth information at IOTC standard.	Received [Date]	2009-2018
			P/C	A FDP has been provided wth information not at IOTC standard.	Received [Date]; Information not provided at IOTC standard; missing [e.g. GT].	
			N/C	No FDP was provided.	No FDP provided.	
			N/A	The CPC has indicated that it will not submit a FDP.	Has confirmed it will not submit a FDP.	



N°	Source	Information required	Status Content	Result of assessment Note: Causes mentioned below are not exhaustive and are only example; other causes can apply depending of the context and information available.	Observations Note: Observations mentioned below are not exhaustive and are only example, other observations can apply depending of the context and information available.	Year reporting on/Year assessed
3.3.	Res. 18/10	Particulars of charter agreements, catches, effort, observer coverage (Chartering CP)	C	Particular of charter agreements has been provided with information at IOTC standard.	Received [Date]; charter agreement with CPC A in [Year]	<b>2018</b>
			P/C	Particular of charter agreements has been provided with information not at IOTC standard.	Received [Date]; charter agreement with CPC A in [Year]; Information not provided at IOTC standard; missing [e.g. xx].	
			N/C	Particular of charter agreements was not provided.	No particular of charter agreements provided.	
			N/A	The CPC has indicated that there is no charter agreements.	Has confirmed there is no charter agreements.	
		Information on the particulars of the charter agreements and detail of vessels (Chartering CP)	C	The chartering CP has provided all information before fishing activities started.	Received [Date]; charter agreement with CPC A in [Year]	<b>Since 1/12 2018</b>
			P/C	The chartering CP has provided information before fishing activities started but some information missing or not at IOTC standard or after fishing activities started.	Received [Date]; charter agreement with CPC A in [Year]; Information not provided at IOTC standard; missing [e.g. xx]; Information provided after fishing activities started.	
			N/C	The chartering CP has not provided information.	No information provided.	
			N/A	The CPC has indicated that there is no charter agreements.	Has confirmed there is no charter agreements.	
		Consent, measures, agreement implementation of IOTC CMMs (Flag CPC)	C	The flag CPC has provided information requested by Res 18/10 before fishing activities started.	Received [Date]; charter agreement with CPC A in [Year]	<b>Since 01/12 2018</b>
			P/C	The flag CPC has provided information before fishing activities started but some information missing or not at IOTC standard or after fishing activities started.	Received [Date]; charter agreement with CPC A in [Year]; Information not provided at IOTC standard; missing [e.g. xx]; Information provided after fishing activities started.	
			N/C	The flag CPC has not provided information.	No information provided.	
			N/A	The CPC has indicated that there is no charter agreements.	Has confirmed there is no charter agreements.	
3.4.	Res. 15/04	List of Authorized vessels 24 metres in length overall or more	C	List has been provided with information at IOTC Standard.	Last update [Date]	<b>Since 01/03 2016</b>
			P/C	List has been provided with information not at IOTC Standard.	Last update [Date]; Information not provided at IOTC standard; missing [e.g. owner].	
			N/C	No list was provided.	List not provided.	
			N/A	No vessel > 24 operating in the Indian Ocean	No vessels of 24 metres in length overall or more in the IOTC RECORD OF AUTHORISED VESSELS.	
		List of Authorized vessels (less than 24m, operating in waters outside EEZ of the flag state)	C	List has been provided with information at IOTC Standard.	Last update [Date]	<b>Since 01/03 2016</b>
			P/C	Received [Date]	Last update [Date]; Information not provided at IOTC standard; missing [e.g. owner].	
			N/C	No list was provided.	List not provided.	
			N/A	No vessel < 24 operating outside EEZ. No vessels in the Record of authorised vessels.	No vessel < 24 operating outside EEZ. No vessels in the IOTC Record of authorised vessels.	
3.5.	Res. 14/05	List of foreign vessels licensed in EEZ	C	Has licensed FFV, List has been provided with information at IOTC Standard	Received [Date]; has issued XX license in 2018.	<b>2018</b>
			P/C	Has licensed FFV, List has been provided with information not at IOTC Standard or missing	Received [Date]; has issued XX license in 2018. Information not provided at IOTC standard; missing [e.g. GT].	
			N/C	Has licensed FFV, list has not been provided	List not provided.	
			N/A	CPC does not license FFV	Does not license foreign fishing vessel/ not an IOTC Coastal State	
3.6.		List of foreign vessels denied a licence	C	Has licensed FFV, has or has not denied license and information was reported	Received [Date]; has denied XX license in 2018.	<b>2018</b>
			P/C	Has denied licence to FFV.	Did not provide reason for denial of licence to FFV. XX FFV denied licence(s).	

N°	Source	Information required	Status Content	Result of assessment Note: Causes mentioned below are not exhaustive and are only example; other causes can apply depending of the context and information available.	Observations Note: Observations mentioned below are not exhaustive and are only example, other observations can apply depending of the context and information available.	Year reporting on/Year assessed
			N/C	Has licensed FFV, no information reported on denial of license.	No information provided.	
			N/A	CPC does not license FFV or CPC is not an IOTC Coastal State	Does not license foreign fishing vessel / Not an IOTC Coastal State	
			C	Information on access agreement and copy of agreement provided	Received [Date]; CPC-CPC agreement with [Country code]	
			P/C	Information on access agreement provided but copy of agreement not provided	Received [Date]; Missing copy of agreement with [Country code]	
3.7.		Access Agreement information	N/C	Has agreement with [Country Code]. CPCA has provided information or copy of agreement. Information on access agreement and copy of agreement provided	No information/copy of agreement provided. [Country code] has reported to have agreement with CPC.	2018
N/A	No foreign vessel are licensed or does not have CPC-CPC agreement.	Does not license foreign fishing vessel / Does not have CPC-CPC Agreement.				
3.8.		Official coastal State fishing License  Mandatory information: Template license and Competent Authority information: name, name of personnel; signature of the personnel; official stamp not provided	C	Template License and Competent Authority information: name, name of personnel; signature of the personnel; official stamp provided	Received [Date] or Updated[Date]	2018
			P/C	One of the following information was not provided: Template License, Competent Authority information: name, name of personnel; signature of the personnel; official stamp	Received [Date], Missing [Template ATF, Competent Authority information: name, name of personnel; signature of the personnel; official stamp] and/or Information from other reporting requirement(s) indicate License not matching mandatory information provided (e.g. ROP/Port inspection).	
			N/C	Has licensed FFV, Template License and Competent Authority information: name, name of personnel; signature of the personnel; official stamp not provided.	No information provided.	
			N/A	CPC does not license FFV or CPC is not an IOTC Coastal State.	Does not license foreign fishing vessel / not an IOTC Coastal State.	
<b>4. Vessel Monitoring System</b>						
4.1.	Res. 15/03	Adoption VMS for all vessels > 24 m and < 24 m fishing high seas	C	Has a satellite-based VMS.	VMS adopted in [YEAR]. Legal Reference: Act/regulation/decreree XX	2018
			P/C	Has indicated other tracking system adopted but not satellite-based VMS. Has adopted VMS but not all vessels covered.	Source [Report Name].	
			N/C	No information provided on VMS adoption	No information provided.	
			N/A	No vessel on the Record of authorised vessels / Has only an artisanal fleet.	Does not have vessel on the Record of authorised vessels	
		VMS report on implementation and technical failures	C	Has provided the VMS report and full completion.	Received [Date] Had XX active vessels in 2017, has reported XX vessels fitted with VMS in 2017.	2017
			P/C	Has provided the VMS report but some section(s)/field(s) not completed.	Received [Date]; Missing information [XX]. Had XX active vessels in 2017, has reported YY vessels fitted with VMS in 2017.	
			N/C	Has not provided the VMS report.	Mandatory VMS report not provided. Had XX active vessels in 2017.	
			N/A	No vessel on the Record of authorised vessels / Has only an artisanal fleet.	Does not have vessel on the IOTC Record of authorised vessels.	
C	Has provided the VMS implementation plan.	Received [Date]				

N°	Source	Information required	Status Content	Result of assessment Note: Causes mentioned below are not exhaustive and are only example; other causes can apply depending of the context and information available.	Observations Note: Observations mentioned below are not exhaustive and are only example, other observations can apply depending of the context and information available.	Year reporting on/Year assessed			
		VMS implementation plan	P/C	Has provided the VMS report but incomplete, missing information on % of coverage, less than 50% coverage.	Received [Date]; Missing information [XX]. Less than 50 % coverage.	<b>2017</b>			
	N/C		Has not provided the VMS plan, VMS not adopted.	No VMS, VMS not adopted and no implementation plan submitted.					
	N/A		VMS adopted [Date] and coverage 100%	VMS adopted [Date] and coverage 100%					
<b>5. Mandatory statistical requirement – Flag State CPCs</b>									
5.1.	Res. 15/02	Nominal Catch							
		Coastal fisheries	C	Has provided NC by gears, by species.	Data received [Date].				
			P/C	Has provided NC but some gear missing/aggregated and/or some species aggregated. Has provided NC but fleet segments aggregated: coastal & surface; coastal & LL. No data collection system in place.	Data received [Date], not at IOTC Standard [Description].				
			N/C	No information provided.	Mandatory data not provided.				
			N/A	CPC is not an IOTC Coastal State.	Not an IOTC Coastal State.				
		Surface fisheries: PS, BB, GI	C	Has provided NC by gears, by species.	Data received [Date].				
			P/C	Has provided NC but some gear missing/aggregated and/or some species aggregated. Has provided NC but fleet segments aggregated: coastal & surface; surface & LL	Data received [Date], not at IOTC Standard [Description].				
			N/C	No information provided.	Mandatory data not provided.				
			N/A	No PS, BB or GI vessels on the IOTC Record of authorised vessels or active	No PS, BB or GN vessel of 24m LOA or more, or less than 24m LOA if they fish for tuna and tuna-like species outside of their EEZ on the IOTC Record of authorised vessels or active in [year]				
		LL Provisional or Final	C	Has provided NC by species and by type of fisheries (Fresh & frozen)	Data received [Date].				
			P/C	Has provided NC but some species aggregated. Has provided NC but fleet segments aggregated: coastal & LL; surface & LL Has provided NC aggregated by type of fisheries (Fresh & frozen)	Data received [Date], not at IOTC Standard [Description].				
			N/C	No information provided.	Mandatory data not provided.				
			N/A	No LL vessel on the IOTC Record of authorised vessels or active.	No LL vessel of 24m LOA or more, or less than 24m LOA if they fish for tuna and tuna-like species outside of their EEZ on the IOTC Record of authorised vessels or active in [year]				
		5.2.		Catch & Effort					
				Coastal fisheries	C	Has provided CE by gears, by species, by month		Data received [Date].	
					P/C	Has provided CE but some gears missing/aggregated and/or some species aggregated and/or not by month		Data received [Date], not at IOTC Standard [Description].	
N/C	No information provided.				Mandatory data not provided.				
N/A	CPC is not an IOTC Coastal State.				Not an IOTC Coastal State.				
Surface fisheries: PS, BB, GI	C			Has provided CE by gears, by species, by month, by grid	Data received [Date].				
	P/C			Has provided CE but some gears missing/aggregated and/or some species aggregated and/or not by month and/or not by grid	Data received [Date], not at IOTC Standard [Description].				

N°	Source	Information required	Status Content	Result of assessment Note: Causes mentioned below are not exhaustive and are only example; other causes can apply depending of the context and information available.	Observations Note: Observations mentioned below are not exhaustive and are only example, other observations can apply depending of the context and information available.	Year reporting on/Year assessed			
			N/C	No information provided.	Mandatory data not provided.	<b>2017</b>			
			N/A	No PS, BB or GI vessel on the IOTC Record of authorised vessels or active	No PS, BB or GN vessel of 24m LOA or more, or less than 24m LOA if they fish for tuna and tuna-like species outside of their EEZ on the IOTC Record of authorised vessels or active in [year]				
		LL Provisional or Final	C	Has provided CE by species, by month, by grid, by type of fisheries (Fresh & frozen)	Data received [Date].				
			P/C	Has provided CE but some species aggregated and/or not by month and/or not by grid. Has provided CE aggregated by type of fisheries (Fresh & frozen)	Data received [Date], not at IOTC Standard [Description].				
			N/C	No information provided.	Mandatory data not provided.				
			N/A	No LL vessel on the IOTC Record of authorised vessels or active	No LL vessel of 24m LOA or more, or less than 24m LOA if they fish for tuna and tuna-like species outside of their EEZ on the IOTC Record of authorised vessels or active in [year]				
		5.3.		Size Frequency					
				Coastal fisheries	C		Has provided SF by gears, by species, by month, by size categories	Data received [Date].	
					P/C		Has provided SF but not for all gear, not by month, not by size categories and/or some species missing and less than 1 fish per MT measured.	Data received [Date], not at IOTC Standard [Less than 1 fish per MT].	
					N/C		No information provided.	Mandatory data not provided.	
N/A	CPC is not an IOTC Coastal State.				Not an IOTC Coastal State.				
Surface fisheries: PS, BB, GI	C			Has provided SF by gears, by species, by month, by grid, by size categories	Data received [Date].				
	P/C			Has provided SF but not for all gear, not by month, not by size categories, not by grid, and/or some species missing and less than 1 fish per MT measured.	Data received [Date], not at IOTC Standard [Less than 1 fish per MT].				
	N/C			No information provided.	Mandatory data not provided.				
	N/A			No PS, BB or GI vessel on the IOTC Record of authorised vessels.	No PS, BB or GN vessel of 24m LOA or more, or less than 24m LOA if they fish for tuna and tuna-like species outside of their EEZ on the IOTC RECORD OF AUTHORISED VESSELS or active in [year]				
LL Provisional/Final	C			Has provided SF by species, by month, by grid, by size categories, by type of fisheries	Data received [Date].				
	P/C			Has provided SF but not by month, not by size categories, not by grid and/or some species missing and less than 1 fish per MT measured and/or not by type of fisheries	Data received [Date], not at IOTC Standard [Less than 1 fish by MT].				
	N/C			No information provided.	Mandatory data not provided.				
	N/A			No LL vessel on the IOTC Record of authorised vessels or active.	No LL vessel of 24m LOA or more, or less than 24m LOA if they fish for tuna and tuna-like species outside of their EEZ on the IOTC RECORD OF AUTHORISED VESSELS or active in [year]				
5.4.				Fish Aggregating Devices (FAD)					
				Supply vessels	C	Has provided the number and characteristics of SV	Data received [Date].		

N°	Source	Information required	Status Content	Result of assessment Note: Causes mentioned below are not exhaustive and are only example; other causes can apply depending of the context and information available.	Observations Note: Observations mentioned below are not exhaustive and are only example, other observations can apply depending of the context and information available.	Year reporting on/Year assessed		
			P/C	Has not provided all information (the number and characteristics of SV)	Data received [Date], not at IOTC Standard [Description].	<b>2017</b>		
			N/C	No information provided.	Mandatory data not provided.			
			N/A	CPC does not have supply vessels	No supply vessels on the Record of authorised vessels or active in 2017			
		Days at sea by supply vessels	C	Has provided effort of SV by month by grid	Data received [Date].	<b>2017</b>		
			P/C	Has provided all information but not by month and/or by grid.	Data received [Date], not at IOTC Standard [Description].			
			N/C	No information provided.	Mandatory data not provided.			
		FADs set by type	N/A	CPC does not have supply vessels on the Record of authorised vessels or active in [YEAR].	No supply vessels on the Record of authorised vessels or active in 2017	<b>2017</b>		
			C	Has provided catch on FADs set by type, by grid, by month	Data received [Date].			
			P/C	Has provided catch on FADs set but not by type, not by grid, not by month	Data received [Date], not at IOTC Standard [Description].			
					N/C	No information provided.	Mandatory data not provided.	<b>2017</b>
					N/A	CPC does not have purse seiner and/or supply vessel.	No purse seiner and/or supply vessel on the IOTC Record of authorised vessels or active in [year]	
		<b>6. Implementation of mitigation measures and bycatch of non-IOTC species</b>						
6.1.	Res. 17/05	Submission of data regarding Sharks – Nominal catch	C	Has provided sharks NC by gears, by species.	Data received [Date].	<b>2017</b>		
			P/C	Has provided sharks NC but some gear missing/aggregated and/or some species aggregated.	Data received [Date], not at IOTC Standard [Description].			
			N/C	No information provided.	Mandatory data not provided.			
			N/A	CPC is not an IOTC Coastal State and no vessels in the IOTC Record of authorised vessels.	Not an IOTC Coastal State and no vessel in the IOTC Record of authorised vessels.			
		Submission of data regarding Sharks – Catch & effort	C	Has provided sharks CE by gears, by species.	Data received [Date].	<b>2017</b>		
			P/C	Has provided sharks CE but some gears missing/aggregated and/or some species aggregated.	Data received [Date], not at IOTC Standard [Description].			
			N/C	No information provided.	Mandatory data not provided.			
			N/A	CPC is not an IOTC Coastal State and no vessels in the IOTC Record of authorised vessels.	Not an IOTC Coastal State and no vessel in the IOTC Record of authorised vessels.			
		Submission of data regarding Sharks – Size frequency	C	Has provided sharks SF by gears, by species.	Data received [Date].	<b>2017</b>		
			P/C	Has provided sharks SF but some species missing and less than 1 fish measured by MT.	Data received [Date], not at IOTC Standard [Less than 1 fish by MT].			
			N/C	No information provided.	Mandatory data not provided.			
			N/A	CPC is not an IOTC Coastal State and no vessels in the IOTC Record of authorised vessels.	Not an IOTC Coastal State and no vessel in the IOTC Record of authorised vessels.			
		Prohibition on sharks finning	C	For shark landed fresh, CPC banned removal of fins on board since [YEAR]; Information provided in IR/CQ including reference to national legislation. For shark landed frozen, CPC implement 5% ratio since [YEAR]; Information provided in IR/CQ including reference to national legislation.	Banned since [YEAR]; Legal Reference: Act/regulation/decreree XX.	<b>Since 03/10 2017</b>		
			P/C	For shark landed fresh, CPC banned removal of fins on board since [YEAR]; Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ.	Has indicated prohibition is in place/No legal reference provided/Conflicting information IR/CQ.			



N°	Source	Information required	Status Content	Result of assessment Note: Causes mentioned below are not exhaustive and are only example; other causes can apply depending of the context and information available.	Observations Note: Observations mentioned below are not exhaustive and are only example, other observations can apply depending of the context and information available.	Year reporting on/Year assessed
				For shark landed frozen, CPC implement 5% ratio since [YEAR]; Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ.		
			N/C	No information provided in IR/CQ.	No information provided.	
			N/A	CPC is not an IOTC Coastal State and no vessels in the IOTC RECORD OF AUTHORISED VESSELS.	CPC is not an IOTC Coastal State and no vessels in the IOTC RECORD OF AUTHORISED VESSELS.	
6.2.	Res. 12/09	Prohibition on thresher sharks of all the species of the family <i>Alopiidae</i>	C	Banned since [YEAR]; Information provided in IR/CQ including reference to national legislation.	Banned since [YEAR]; Legal Reference: Act/regulation/decreree XX.	Since 2010
			P/C	Banned since [YEAR]; Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ.	Has indicated prohibition is in place/No legal reference provided/Conflicting information IR/CQ.	
			N/C	No information provided in IR/CQ.	No information provided.	
			N/A	CPC is not an IOTC Coastal State and no vessels in the IOTC Record of authorised vessels.	CPC is not an IOTC Coastal State and no vessels in the IOTC Record of authorised vessels.	
6.3.	Res. 13/06	Prohibition on oceanic whitetip sharks	C	Banned since [YEAR]; Information provided in IR/CQ including reference to national legislation.	Banned since [YEAR]; Legal Reference: Act/regulation/decreree XX.	Since 2013
			P/C	Banned since [YEAR]; Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ.	Has indicated prohibition is in place/No legal reference provided/Conflicting information IR/CQ.	
			N/C	No information provided in IR/CQ.	No information provided.	
			N/A	CPC is not an IOTC Coastal State and no vessels in the IOTC Record of authorised vessels.	CPC is not an IOTC Coastal State and no vessels in the IOTC Record of authorised vessels.	
6.4.		Report on progress of implementation of the FAO Guidelines and this Resolution	C	Has provided information on Status of implementation of FAO guidelines and this resolution.	Information on implementation of FAO guideline /this Resolution received [Date]	2018
			P/C	Has provided partial information on Status of implementation of FAO guidelines and this resolution.	Partial information on implementation of FAO guidelines received [Date]	
			N/C	No information provided.	Mandatory report not provided	
			N/A	CPC is not an IOTC Coastal State and no vessels in the IOTC Record of authorised vessels.	Not an IOTC Coastal State and no vessels in the IOTC Record of authorised vessels.	
6.5.		Data on interactions with marine turtles	C	Has provided information on interactions by species by gears or has reported no interaction has occurred	Data received [Date]; Total of [XX] interactions reported in [YEAR].	2017
			P/C	Has provided information on interactions aggregated, not for all gears.	Data received [Date]; data not by species.	
			N/C	No information provided.	Mandatory data not provided	
			N/A	CPC is not an IOTC Coastal State and no vessels in the IOTC Record of authorised vessels.	Not an IOTC Coastal State and no vessels in the IOTC Record of authorised vessels.	
6.6.	Res. 12/04	Carry line cutters and de-hookers on board (Longliners)	C	Obligation in place since [YEAR]; Information provided in IR/CQ including reference to national legislation.	Has indicated obligation is in place since [YEAR]; Legal Reference: Act/regulation/decreree XX.	Since 2009
			P/C	Obligation in place since [YEAR]; Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ.	Has indicated obligation in place since [YEAR] / No legal reference provided/Conflicting information IR/CQ.	
			N/C	No information provided in IR/CQ.	No information provided.	
			N/A	CPC does not have LL on Record of authorised vessels or active	No LL operating in the Indian Ocean.	
6.7.		Carry dip nets (Purse seiners)	C	Obligation in place since [YEAR]; Information provided in IR/CQ including reference to national legislation.	Has indicated obligation is in place since [YEAR]; Legal Reference: Act/regulation/decreree XX.	Since 2009
			P/C	Obligation in place since [YEAR]; Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ.	Has indicated obligation in place since [YEAR] / No legal reference provided/Conflicting information IR/CQ.	

N°	Source	Information required	Status Content	Result of assessment Note: Causes mentioned below are not exhaustive and are only example; other causes can apply depending of the context and information available.	Observations Note: Observations mentioned below are not exhaustive and are only example, other observations can apply depending of the context and information available.	Year reporting on/Year assessed
			N/C	No information provided in IR/CQ.	No information provided.	
			N/A	CPC does not have PS in Record of authorised vessels or active	No PS operating in the Indian Ocean.	
6.8.		Seabirds report	C	Has provided information on interactions by species, or has reported no interaction has occurred.	Data received [Date]. Total of [XX] interactions reported in [YEAR].	<b>2017</b>
			P/C	Has provided information on interactions but aggregated, not by species.	Data received [Date]; data not by species.	
			N/C	No information provided	Mandatory data not provided	
			N/A	CPC does not have LL in Record of authorised vessels or active	No LL operating in the Indian Ocean.	
6.9.	Res. 12/06	Implementation of mitigation measures south of 25°S	C	Obligation in place since [YEAR]; Information provided in IR/CQ including reference to national legislation.	Has indicated obligation is in place since [YEAR]; Legal Reference: Act/regulation/decreed XX.	<b>Since 2010</b>
			P/C	Obligation in place since [YEAR]; Information provided in IR/CQ, no legal reference provided, conflicting information between IR & CQ.	Has indicated obligation in place since [YEAR] / No legal reference provided/Conflicting information IR/CQ.	
			N/C	No information provided in IR/CQ.	No information provided.	
			N/A	CPC does not have LL in Record of authorised vessels or active or LL operating south of 25°S	No LL operating in the Indian Ocean, operating south of 25°S.	
6.10.	Res. 13/04	Data on interactions with Cetaceans (All gears)	C	Has provided interactions by gears by species, or has reported no interaction has occurred	Data received [Date].	<b>2017</b>
			P/C	Has provided interactions but not by gears and/or by species	Data received [Date]; data not by species, not at IOTC standard.	
			N/C	Has not provided the data	No information provided.	
			N/A	CPC does not have vessels in Record of authorised vessels	No vessel on the Record of authorised vessels or active in 2017	
		Instances of Cetaceans encircled (PS only)	C	Has provided information on instances of cetaceans encircled by species	Data received [Date]. Total of [XX] instances reported in [YEAR].	<b>2018</b>
			P/C	Has provided information on instances of cetaceans encircled but aggregated, not by species.	Data received [Date]; data not by species.	
			N/C	No information provided.	Mandatory data not provided.	
			N/A	CPC does not have PS in Record of authorised vessels	No purse seiner on the Record of authorised vessels or active in 2017	
6.11.	Res 13/05	Data on interactions with Whale Sharks (All gears)	C	Has provided interactions by gears, or has reported no interaction has occurred	Data received [Date].	<b>2017</b>
			P/C	Has provided interactions but not by gears	Data received [Date]; data not at IOTC standard.	
			N/C	Has not provided the data.	No information provided.	
			N/A	CPC does not have vessels in Record of authorised vessels	No vessel on the Record of authorised vessels or active in 2014	
		Instances Whale Sharks encircled (PS only)	C	Has provided information on instances of Whale Sharks encircled	Data received [Date]. Total of [XX] instances reported in [YEAR].	<b>2018</b>
			P/C	Has provided information on instances of Whale Sharks encircled, some information missing.	Data received [Date]; data not at IOTC standard.	
			N/C	No information provided.	Mandatory data not provided	
			N/A	CPC does not have PS in Record of authorised vessels or active	No purse seiner on the Record of authorised vessels or active in 2017	
<b>7. Illegal, Unreported and Unregulated (IUU) Vessels</b>						
7.1.	Res. 18/03	IUU listing	C	CPC had no vessel listed at the previous session of the Commission	No vessel listed on IOTC IUU list in [Year]	

N°	Source	Information required	Status Content	Result of assessment Note: Causes mentioned below are not exhaustive and are only example; other causes can apply depending of the context and information available.	Observations Note: Observations mentioned below are not exhaustive and are only example, other observations can apply depending of the context and information available.	Year reporting on/Year assessed
		(at previous session)	P/C			<b>Listed in 2018</b>
			N/C	CPC had vessel listed at the previous session of the Commission	Had [XX] vessels listed in [Year]	
			N/A			
7.2.	Res. 07/01	Compliance by nationals (at previous session)	C	CPC had no nationals involved on vessels listed at the previous session of the Commission.	No nationals on board vessels listed on IOTC IUU list in [Year].	<b>Listed in 2018</b>
			P/C			
			N/C	CPC had nationals involved on vessel listed at the previous session of the Commission.	Had [XX] nationals on vessels listed in [Year].	
			N/A			
<b>8. Transhipments</b>						
8.1.		At sea transhipments – CPC report	C	Has provided the 2 mandatory reports (quantity transhipped by specie, list of LSTLVs and comments on the observer report).	Reports received [Date]	<b>2017</b>
		Flag State report concerning information on TRX at sea under the ROP	P/C	Has provided only one of the 2 mandatory reports (quantity transhipped by species, list of LSTLVs and comments on the observer report). Or Quantity declared and/or list of LSTLVs not matching information in the ROP database	Report received [Date]; Report on [XX] missing. Has reported total quantity transhipped [XX] T but ROP database records [YY] T. Has reported [XX] LSTLVs but ROP database records [YY] LSTLVs	
			N/C	Has not provided the 2 mandatory reports (quantity transhipped by specie, list of LSTLVs and comments on the observer report).	Mandatory reports not provided.	
			N/A	CPC is not participating in the ROP.	Not participating in the IOTC ROP.	
8.2.	Res. 18/06	Transhipments in port report	C	Has provided the mandatory report: quantity transhipped by specie by LSTLVs).	Reports received [Date]	<b>2018</b>
		Flag State report concerning information on TRX in foreign ports	P/C	Has provided the mandatory report but quantity transhipped is aggregated not by species, and/or aggregated by LSTLVs.	Report received [Date]; quantity not reported by species but aggregated and /or aggregated by LSTLVs. Information from other reporting requirement(s) indicate some vessels have conducted TRX in ports (e.g. Res 10/10/Port inspection). Source – [Country code] report XX TRX in [Port Name]: information not reported.	
			N/C	CPC has not provided the mandatory report.	Mandatory report not provided. Information from other reporting requirement(s) indicate some vessels have conducted TRX in ports (e.g. Res 10/10/Port inspection). Source – [Country code] report XX TRX in [Port Name]: information not reported.	
			N/A	CPC does not have vessels on the Record of authorised vessels and/or not active.	Does not have vessels on the Record of authorised vessels and/or not active	
8.3.		List of Authorised carrier vessels	C	Has provided a list of authorised carrier vessels, and all mandatory information provided	Last update [Date]	<b>2018</b>
			P/C	Has provided a list of authorised carrier vessels but some mandatory information missing	Last update [Date]; information not at IOTC standard; missing [GT]	
			N/C	CPC is participating in the ROP but has not provided a list of authorised carrier vessels	No list of Carrier vessels provided	
			N/A	CPC is not participating in the ROP.	Not participating in the IOTC ROP.	



N°	Source	Information required	Status Content	Result of assessment Note: Causes mentioned below are not exhaustive and are only example; other causes can apply depending of the context and information available.	Observations Note: Observations mentioned below are not exhaustive and are only example, other observations can apply depending of the context and information available.	Year reporting on/Year assessed
8.4.		Report on results of investigations on possible infractions	C	CPC has provided a response to all the possible infractions	Possible infractions: XX. Response received: XX.	<b>2018</b>
			P/C	CPC has not provided a response to all the possible infractions	Possible infractions: XX. Response received: YY.	
			N/C	CPC has not provided a response to the possible infractions	Possible infractions: XX. Response received: 0.	
			N/A	CPC is not participating in the ROP.	Not participating in the IOTC ROP.	
8.5.		ROP fee	C	CPC has paid the ROP fee	Received [Date].	<b>2018</b>
			P/C	CPC has paid the ROP fee but still outstanding	Received [Date]; has outstanding payment.	
			N/C	CPC has not paid the ROP fee	Has not paid the IOTC ROP fee.	
			N/A	CPC is not participating in the ROP.	Not participating in the IOTC ROP.	
<b>9. Observers</b>						
9.1.		Regional Observer Scheme (No. of vessels monitored and coverage by gear type)	C	CPC has provided the No. of vessels monitored and coverage by gear type	Had [XX] vessels active in 2017; No. of vessels monitored: [XX]; Coverage by gear A [XX] %	<b>2017</b>
			P/C	CPC has provided the No. of vessels monitored and coverage by gear type, CPC has provided only one of the information No. of vessels monitored and coverage by gear type	Had [XX] vessels active in 2017; Has provided No of vessel monitored [XX] but no coverage provided. or Has provided coverage by gear A [XX] % but No of vessel monitored not provided	
			N/C	CPC has not provided the No. of vessels monitored and coverage by gear type,	Had [XX] vessels active in 2017; no information provided.	
			N/A	CPC has no vessel in the Record of authorised vessels or active	No vessel on the Record of authorised vessels or active in 2017.	
9.2.	Res. 11/04	5% Mandatory, at sea (all vessels) <sup>2</sup>	C	CPC has provided coverage => 5%	Coverage by gear A [XX] %	<b>2017</b>
			P/C	CPC has provided coverage but below 5%	Coverage by gear A [XX] %	
			N/C	CPC has not provided information on coverage.	No information provided.	
			N/A	CPC has no vessel in the Record of authorised vessels or active	No vessel in the Record of authorised vessels or active in 2017.	
9.3.		5 % Phasing in Artisanal landings	C	CPC has provided data on landings and coverage => 5 %.	Coverage by gear A [XX] %	<b>2017</b>
			P/C	CPC has provided data on landings and coverage < 5 %.	Coverage by gear A [XX] %	
			N/C	CPC has not provided data on landing and information on coverage.	No information provided.	
			N/A	CPC is not an IOTC coastal State	Not an IOTC coastal State	
9.4.		Observer reports	C	CPC has provided observer reports	[XX] Observer reports provided	<b>2017</b>
			P/C	CPC has provided observer report but not according to the template Or with substantial amount of information missing	[XX] Observer reports provided; not at IOTC standard	
			N/C	CPC has not provided observer reports	No observer report provided	
			N/A	CPC has no vessel in the Record of authorised vessels or active	No vessel on the Record of authorised vessels or active in 2017.	
<b>10. Statistical document programme</b>						
10.1.	Res. 01/06	1 <sup>st</sup> Semester report	C	CPC import BET and has provided the report	Report received [Date]	<b>1st semester 2018</b>
			P/C	CPC import BET and has provided the report but some information missing	Report received [Date]; Not at IOTC standard	
			N/C	CPC import BET but has not provided the report	Mandatory report not provided.	
			N/A	CPC does not import BET	Does not import BET.	

N°	Source	Information required	Status Content	Result of assessment Note: Causes mentioned below are not exhaustive and are only example; other causes can apply depending of the context and information available.	Observations Note: Observations mentioned below are not exhaustive and are only example, other observations can apply depending of the context and information available.	Year reporting on/Year assessed
10.2.		2 <sup>nd</sup> Semester report	C	CPC import BET and has provided the report	Report received [Date]	<b>2<sup>nd</sup> semester 2017</b>
			P/C	CPC import BET and has provided the report but some information missing	Report received [Date]; Not at IOTC standard	
			N/C	CPC import BET but has not provided the report	Mandatory report not provided.	
			N/A	CPC does not import BET.	Does not import BET.	
10.3.		Annual report	C	CPC has provided the annual report.	Report received [Date]	<b>2017</b>
			P/C	CPC has provided the annual report but some information missing.	Report received [Date]; Not at IOTC standard	
			N/C	CPC has not provided the annual report	Mandatory report not provided.	
			N/A	CPC does not export BET, or does not have authorised or active LSTLV.	No authorised or active LSTLV in 2017	
10.4.		Information on authorised institutions and personnel	C	CPC has provided information on authorised institutions and personnel.	Last update [Date]	<b>2018</b>
			P/C	CPC has provided information on authorised institutions and personnel but some information missing.	Last update [Date]; Missing information [XX]	
			N/C	CPC has not provided information on authorised institutions and personnel.	Mandatory information not provided. Exported [XX] kg to [Country code].	
			N/A	CPC does not export BET, or does not have authorised or active LSTLV.	No authorised or active LSTLV in 2017.	
<b>11. Port inspection</b>						
11.1.	Res. 05/03	Port inspection programme	C	CPC has provided report on landings of foreign vessels in its ports	Report received [Date]	<b>2017</b>
			P/C	CPC has provided report on landings of foreign vessels in its ports; but some missing information, or aggregated by species or by vessel or gear	Report received [Date]; Missing information [XX]	
			N/C	CPC has not provided report on landings of foreign vessels in its ports	Mandatory report not provided.	
			N/A	CPC is not an IOTC port State or has indicated it does not authorise landings by FFV in its ports	Not an IOTC coastal State/ does not authorise landings by FFV in its ports.	
11.2.		List of designated ports	C	CPC has designated ports	Has designated [XX] ports: [Name]	<b>Since 2010</b>
			P/C	CPC has designated ports, but some information missing	Report received [Date]; Missing information [XX]	
			N/C	CPC is receiving FFV in port, but has not designated ports	Has received FFV in port, but has not designated port	
			N/A	CPC is not an IOTC port State	Not an IOTC port State.	
11.3.		Designated competent Authority	C	Details of CA reported	Report received [Date]	<b>Since 2010</b>
			P/C	Details of CA reported, but some information missing	Report received [Date]; Missing information [XX]	
			N/C	CPC is receiving FFV in port, but has not designated CA	Mandatory information not provided.	
			N/A	CPC is not an IOTC port State	Not an IOTC port State.	
11.4.	Res. 16/11	Prior notification periods	C	CPC has reported notification period	Report received [Date]	<b>Since 2010</b>
			P/C	CPC has reported notification period, but not for all designated ports.	Missing notification period for port [XX]	
			N/C	CPC is receiving FFV in port, but has not defined notification period	Mandatory information not provided.	
			N/A	CPC is not an IOTC port State	Not an IOTC port State.	
11.5.		Inspection report	C	CPC has provided inspection report	Source - IOTC-2019-CoC16-CQxx: Call in port: XX ; Foreign vessel inspected: YY ; LAN/TRX monitored: ZZ. XX reports provided in 2018.	<b>2018</b>
			P/C	CPC has provided inspection report but not at IOTC standard	Source - IOTC-2019-CoC16-CQxx: Call in port: XX ; Foreign vessel inspected: YY ; LAN/TRX monitored: ZZ.	

N°	Source	Information required	Status Content	Result of assessment Note: Causes mentioned below are not exhaustive and are only example; other causes can apply depending of the context and information available.	Observations Note: Observations mentioned below are not exhaustive and are only example, other observations can apply depending of the context and information available.	Year reporting on/Year assessed
					XX reports provided in 2018; not at IOTC standard (Annex 3 of Res 16/11).	
			N/C	CPC is receiving FFV in port, but has not provided inspection report.	No inspection report provided.	
			N/A	CPC is not an IOTC port State.	Not an IOTC port State.	
11.6.		At least 5% inspection of LAN or TRX	C	CPC has inspected 5% or more of LAN/TRX	Source - IOTC-2019-CoC16-CQxx: =>5% LAN/TRX inspected.	2018
			P/C	CPC has inspected less than 5% of LAN/TRX	Source - IOTC-2019-CoC16-CQxx: <5% LAN/TRX inspected.	
			N/C	CPC has not conducted inspection of LAN/TRX.	No information provided.	
			N/A	CPC is not an IOTC port State.	Not an IOTC port State.	
11.7.		Denial of entry in port	C	CPC has provided information on denial.	Source – IOTC-2019-CoC16-CQxx: has reported [xx] or no foreign vessel was denied entry in port.	2018
			P/C	CPC has denied FFV entry to its port, but did not communicate the denial to the IOTC Secretariat in a timely manner.	Source – IOTC-2019-CoC16-CQxx: has reported [xx] FFV were denied entry into port.its port, but did not communicate the denial to the IOTC Secretariat.	
			N/C	CPC has not provided information on denial.	No information provided.	
			N/A	CPC is not an IOTC port State.	Not an IOTC port State.	
<b>12. Market</b>						
12.1.	Res. 10/10	Report on import, landing and transshipment of tuna and tuna-like fish products in ports	C	CPC has provided the mandatory report	Report received [Date]	2018
			P/C	CPC has provided the mandatory report but information is aggregated (gear, species).	Report received [Date]; not at IOTC standard	
			N/C	CPC has not provided the mandatory report	Mandatory report not provided.	
			N/A	CPC has no port or has indicated it does not authorise LAN/TRX by FFV in its ports.	Has no port. Does not authorise landings by FFV in its ports.	

**APPENDIX VI**  
**REVISED WORK PLAN OF THE WPICMM**

The WIPICMM Work Plan reviewed by the WPICMM02 is available at the following link

[http://www.iotc.org/sites/default/files/documents/2019/03/WPICMM\\_work\\_plan\\_ADOPTED\\_EN\\_-\\_Review\\_WPICMM02.XLS](http://www.iotc.org/sites/default/files/documents/2019/03/WPICMM_work_plan_ADOPTED_EN_-_Review_WPICMM02.XLS)

## APPENDIX VII

### CONSOLIDATED SET OF RECOMMENDATIONS ARISING FROM WPICMM02

- Paragraph 8. The WPICMM02 **CONSIDERED** the recommendation of the Compliance Committee (report IOTC-2018-CoC15-R, paragraph 93) and **RECOMMENDED** that the anomalies relating to paragraphs 1, 11, 13 and Annex II of IOTC Resolutions 18/08 (previously 17/08) be reviewed by the ad hoc FAD Working Group.
- Paragraph 9. The WPICMM02 **RECOMMENDED** that the IOTC Secretariat provide detailed information, by gear type and fisheries, on the level of compliance to measure related to sharks (Flag State; NC, CE, SF) to the CoC16.
- Paragraph 12. The WPICMM02 **RECOMMENDED** that:
- Resolution 18/07 - to streamline and consolidate reporting obligations,
- Resolution 18/06
- the development of an e-portal,
  - the inclusion of IMO number for CV.
  - Only CVs from CPCs are included in the list of authorised carrier vessels,
  - Transshipment Declaration for in port transshipment should be less than 15 days,
  - CVs engaged in port transshipment should be include in the list of authorised CV,
  - That rules for transshipment in port be developed.
- Resolution 18/03 further consideration should be given to some of the proposals to amend this resolution.
- Resolution 16/11 to continue the discussion on prohibition of the use of non-CPC ports by authorised fishing vessels
- Resolution 14/05 to continue the discussion on immediate reporting and sharing of foreign licence vessel lists
- Resolution 15/04
- that photographs and other details not currently required to be provided, to be included in the list of mandatory information to be submitted at the time of the request to include a vessel in the IOTC Record of Authorised Vessels,
  - that gear marking be addressed under a mechanism different from 15/04
- Resolution 15/01
- that “production logbook” and “stowage plan” for carrier vessels (or for other types of vessels) be better regulated and logbook updating rules be added.
  - To institute landing declarations, including submissions to flag State, port State and IOTC Secretariat
- Resolution 10/10
- the reinforcement of Res 10/10, in accordance to the results derived by the consultant, to include intersessional removal of identification.
  - further discussion on criteria that will result in objectivity of the identification process
- Resolution 05/03 – eliminate this resolution once assurance is received that equivalent measures are available in Resolution 16/11
- Resolution 01/06 – eliminate this Resolution transfer the operative text to Resolution 03/03. The Resolution 03/03 will be eliminated once a CDS is in place.
- Resolutions 16/05, 07/01, 01/03 and 99/02 be eliminated.
- Paragraph 15. The WPICMM02 **RECOMMENDED** that the VMS Steering Group consider options 2 and 3, ( in document IOTC-2019-WPICMM02-VMS Study) and possible variation of option 3 to take into

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account paragraph 15, as the basis for strengthening the IOTC VMS and continue its work, including a work plan and budget, and if necessary, a revision of the Resolution 15/03 for the consideration of the CoC16.

- Paragraph 16. The WPICMM02 **RECOMMENDED** that a Working Group be constituted to guide the development of a CDS noting that this will require endorsement by the Compliance Committee and Commission.
- Paragraph 24. The WPICMM02 **AGREED** that additional work would be necessary to identify Resolutions that lack reporting standards and **RECOMMENDED** that CMMs being proposed in the future should contain reporting standards, where applicable.
- Paragraph 33. The WPICMM02 **PROVIDED** additional comments to improve the template and **RECOMMENDED** that the revised final template, if adopted by the CoC16, should be circulated to CPCs for completion and submission by 15 January to the WPICMM03.
- Paragraph 38. The WPICMM02 **RECOMMENDED** that the remaining 32 definitions be deferred to further work or be considered under the “legal scrubbing”, as appropriate.
- Paragraph 40. The WPICMM02 **RECOMMENDED** that the revised Terms of Reference be submitted to the COC16 for consideration.
- Paragraph 47. The WPICMM **RECOMMENDED** that CPCs provide information to the Commission on how they are implementing the measure related to sharks and further **ENCOURAGED** them to submit data in accordance with Resolution 15/02.